

STATE OF NEW YORK
COMMISSION ON JUDICIAL NOMINATION
QUESTIONNAIRE FOR CANDIDATES FOR
ASSOCIATE JUDGE OF THE COURT OF APPEALS

This questionnaire must be completed and verified before consideration of candidacy can commence.

Unless otherwise indicated, every question must be answered, although the answer is negative or an indication that the question is inapplicable.

If the space given is insufficient for an answer, complete the answer on a sheet or sheets and attach them to this questionnaire.

Judiciary Law, Article 3-A, §66 provides that all communications to the Commission, including applications among other things, shall be confidential and privileged and not available to any person, except as otherwise provided in Article 3-A, and except for the purposes of Article 210 of the Penal Law, which relates to perjury.

The Commission will consider this application in connection with nominations for any Associate Judge vacancy during 1993 unless specifically directed otherwise. Only supplementary information will be requested in connection with the vacancy that is anticipated at the end of 1993, or any other vacancy during 1993.

1. Full name. (If you have ever used or been known by any other name, state that name)
2. Office address and telephone and fax numbers.

Ex "L-1"

26. Furnish at least five examples of legal articles, books, briefs or other legal writings which reflect your personal work and which you consider representative. Indicate the degree to which the writings are your personal work in the event of joint authorship. (In the case of Judges who have responded to question 20, this question is optional.)

27. To your knowledge, has any complaint or charge ever been made against you as a lawyer? If so, furnish full details, including the Bar Association or other entity to whom the charges were referred, the nature of the complaint or charge, the outcome and the dates involved.¹

*
28. (a) To your knowledge, has any complaint or charge ever been made against you in connection with your service in a judicial office? Include in your response any question raised or inquiry conducted of any kind by any agency or official of the judicial system.

¹ Judiciary Law, Article 3-S §64(3) provides that this Commission may require from any court or other agency of the State any information or data as will enable it properly to evaluate qualifications of candidates, subject to an absolute judicial or executive privilege where one exists.

The
City
of
New York



MAYOR'S COMMITTEE ON THE JUDICIARY

36 WEST 44TH STREET, NEW YORK, N. Y. 10014

Telephone: (212) 944-6225

QUESTIONNAIRE FOR JUDICIAL REAPPOINTMENT

The Committee requests that each incumbent judge seeking reappointment complete and promptly return this questionnaire. Unless otherwise indicated, all questions should be fully answered, including negative answers. Additional sheets may be used if the space to answer is insufficient. Please avoid unnecessary attachments. The Committee cannot conclude its consideration until it has received the completed questionnaire.

(Check)

Date _____

Criminal Court

Family Court

Office telephone: _____

Home telephone: _____

1. (a) Full name: _____

(b) Have you ever used or been known by any other name? _____

2. Date of appointment (and reappointment, if applicable) to the above court: _____

3. (a) Residence: _____

(b) Mailing address (if other): _____

(c) Current court address: _____

(d) State what courts you have been assigned to, other than the one to which appointed, during your present term, and the periods of assignment:

Ex "L-2"

18. Have you written any published articles? _____ If so, give the name and date of the publication and the title and subject matter of the article. If you wish to submit any opinions that you have written, you may do so:

*
19. Has any charge, complaint, disciplinary action or any other claim of misconduct ever been brought against you in your capacity as an incumbent judge to, by or through any governmental or other official agency? _____ If so, please state details:

UNIFORM JUDICIAL QUESTIONNAIRE

CONFIDENTIAL

1. Full Name:

Have you ever been known by any other name (other than a recognizable nickname)? Yes No
If so, specify the name(s) and year(s) of name change and/or the years during which the other name or names were used:

2. Date and place of birth:

3. Current residence address:

4. Current home telephone:

Number of years at current address: _____ If less than one year, previous address(es) during past year:

5. Do you rent, other than for a season, or own any additional homes? Yes No If so, please list address(es):

6. Current business address:

7. Current business telephone:

8. Identify the Court or public office and, where applicable, Judicial Department, Judicial District, County, or Court District for which you are a candidate and state whether you are a candidate for election or for appointment:

9. (For elective offices) Political party or parties which are supporting your candidacy, or of which you are seeking nomination:

10. Did you serve in the armed forces? Yes No If so, please give the following information:

Dates of Service

Branch of Service

Nature of Discharge

EX "L-3"

UNIFORM JUDICIAL QUESTIONNAIRE

NAME _____

18. State all courts in which you are admitted or have ever been admitted to practice, together with dates of admission:

(a) New York (give Judicial Department):

Date of admission:

(b) All other Federal and State Courts:

Dates of admission:

(i):

(ii):

(iii):

(iv):

19. State all areas of law in which you have concentrated or have had substantial experience for any sustained period of time:

20. Have you ever resigned from a position as, or for other reasons, ceased to be:

(a) a member of the bar or bench of any state or court in any jurisdiction? Yes No

(b) a member of a governmental body, a hearing officer or magistrate or an occupant of any other similar position?
 Yes No

If so, please describe:

~~21.~~ Are you now, or have you ever been, the subject of any formal complaint or charge filed with any disciplinary committee, court, government agency or bar association arising out of your official or professional responsibilities during the course of your:

(a) law practice? Yes No

(b) public or judicial service? Yes No

(c) campaign for public or political party office? Yes No

If so, please describe each complaint or charge and its outcome, including whether the governmental agency or other entity to whom such complaint or charge was made censured you, issued a caution, imposed a sanction or took any other action whatsoever criticizing your conduct, even if the complaint or charge was dismissed:

IV. CONFIDENTIAL

1. Full name (include any former names used).
2. Address: List current place of residence and office address(es). List all office and home telephone numbers where you may be reached.
3. Have you ever been discharged from employment for any reason or have you ever resigned after being informed that your employer intended to discharge you?
4. Were all your taxes (federal, state, and local) current (filed and paid) as of the date of your nomination?
5. Has a tax lien or other collection procedure (to include receipt of computer balance due notices) ever been instituted against you by federal, state, or local authorities? If so, give full details.
6. Have you or your spouse ever been the subject of any audit, investigation or inquiry for either federal, state, or local taxes? If so, give full details.
7. Have you or your spouse ever declared bankruptcy? If so, give particulars.
8. Have you to your knowledge ever been under federal, state, or local investigation for a possible violation of either a civil or criminal statute or administrative agency regulation? If so, give full details. Has any organization of which you were an officer, director, or active participant ever been the subject of such an investigation with respect to activities within your responsibility? If so, give full details.
9. * Have you ever been the subject of a complaint to any court, administrative agency, bar association, disciplinary committee, or other professional group for a breach of ethics, unprofessional conduct or a violation of any rule of practice? If so, give particulars.
10. Have you ever been sued by a client or other party? Have you ever been a party to any litigation? If so, give full particulars.
11. Please advise the Committee of any unfavorable information that may affect your nomination.

STATE OF NEW YORK



COMMISSION

ON

JUDICIAL CONDUCT

ANSWERS TO INQUIRIES

1. Q: Does the Commission have a complaint form?
A: No. A signed letter to the Commission detailing the allegations of misconduct and naming the judge is sufficient.
2. Q: Will the judge know that I have submitted a complaint?
A: Perhaps. If the Commission decides to investigate your complaint, the judge is likely to be asked to respond to the allegations in your complaint.
3. Q: Does the judge have to disqualify himself or herself if I have submitted a complaint?
A: No.
4. Q: Can the Commission direct that the judge be disqualified, or that a new trial be held, or that any other relief be granted?
A: No. The Commission is not a court and has no authority to grant relief to any litigant.
5. Q: Will I hear further from the Commission?
A: The Commission is required by law to inform complainants of any action taken on their complaints.