

2012 Annual Report
 NYS Bar Association
 Committee on Professional Discipline

Table 1
Appellate Division
January 1, 2012-December 31, 2012

	1 st Dept.	2 nd Dept.	3 rd Dept.	4 th Dept.	Total
I. MATTERS PROCESSED:					
Pending at start of period	921	2450	880	509	4760
New matters received	2883	5720	1443	1928	11974
✓ Matters disposed of	2898	5424	1351	1988	11661
Pending at end of period	914	2831	1000	466	5211
II. COMMITTEE DISPOSITIONS:					
✓ Rejected for failing to state complaint	11% 326	45% 2421	49% 632	52% 1034	4413 38%
✓ Referred to other agencies	63% 416	15% 1121	22% 108	23% 123	1768 30.5%
Dismissed or withdrawn	52	29	0	0	81
Dismissed through mediation	N/A	233	39	187	459
Letters of caution (education)	74 84	63 144	69 35	75 37	300 68.5%
Letters of admonition	1	2	35	0	38
Admonition or reprimand	205	339	200	148	892
Referred to court	0	151	0	0	151
Other					
III. CASES PROCESSED IN COURTS					
Cases pending at start of period	43	203	58	23	327
Cases received	286	303	172	85	846
CASES CLOSED					
Disbarred	13	34	13	4	64
Resigned	10	21	0	1	32
Suspended	29	44	18	22	113
Censured	13	9	8	10	40
Privately censured	6	0	0	0	6
Remanded to grievance committee	11	8	0	1	20
Discontinued	3	7	0	1	11
Dismissed	1	0	1	1	3
Reinstatements granted	103	20	25	4	152
Reinstatements denied	2	7	0	1	10
Non-Disciplinary Resignations	46	29	93	14	182
Other	47	103	29	15	194
TOTAL CLOSED:	284	282	187	74	827
TOTAL PENDING:	47	224	43	34	348

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January 1, 2011-December 31, 2011

	1 st Dept.	2 nd Dept.	3 rd Dept.	4 th Dept.	Total
I. MATTERS PROCESSED:¹ *					
Pending at start of period	1255	3014	731	600	5600
✓ New matters received	3133 ²	4933	1471	2276	11813
✓ Matters disposed of	3592	5590	1338	2394	12914
Pending at end of period	859	2450	890	509	4708
II. COMMITTEE DISPOSITIONS:					
✓ Rejected for failing to state complaint	410	2537	707	1269	4923
Referred to other agencies	462	1121	133	127	1843
✓ Dismissed or withdrawn	2312	892	170	650	4024
Dismissed through mediation	123	35	0	0	158
Letters of caution (education)	N/A	324	41	185	550
Letters of admonition	114	198	34	32	378
Admonition or reprimand	1	0	24	0	25
Referred to court	170	313	229	131	843
Other	0	170	0	0	170
III. CASES PROCESSED IN COURTS³					
Cases pending at start of period	43	218	45	30	336
Cases received	151	303	169	69	692
CASES CLOSED					
Disbarred	22	39	9	12	82
Resigned	7	23	0	6	36
Suspended**	25	46	19	10	100
Censured	6	9	8	7	30
Privately censured ⁴	4	0	0	0	4
Remanded to grievance committee	15	11	0	3	29
Discontinued	6	6	0	3	19
Dismissed	1	2	1	2	6
Reinstatements granted	10	12	33	6	61
Reinstatements denied	3	7	2	3	15
Non-Disciplinary Resignations	0	71	77	6	154
Other	34	92	24	18	168
TOTAL CLOSED:	133	318	173	76	700
TOTAL PENDING:	43	203	41	23	310

¹ In the First Dept. "Matters" does not include inquiries.

² 3133 includes 12 matters received in June, 2011 which were not counted then.

³ Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

⁴ Reported as "Privately Reprimanded" in the 1st Department.

*For the purposes of this report, the term "Matter" includes the following: Complaints, Inquiries (Excluding telephone inquiries) and Sua Sponte investigations. Matters represents individual complaints and inquiries.

**Includes definite, interim and indefinite suspensions.

2008 Annual Report
 NYS Bar Association
 Committee on Professional Discipline

Table 1
Appellate Division
January 1, 2008-December 31, 2008

	1 st Dept.	2 nd Dept.	3 rd Dept.	4 th Dept.	Total
I. MATTERS PROCESSED:¹					
Pending at start of period	1039	2522	711	747	5019
New matters received ²	4026 ³	6292	1664	2674	14656
✓ Matters disposed of	3798	5972	1735	2898	14403
Pending at end of period	1267	2842	640	523	5272
II. COMMITTEE DISPOSITIONS:					
✓ Rejected for failing to state complaint	525	2806	962	1263	5556
Referred to other agencies ⁴	433	1127	139	136	1835
✓ Dismissed or withdrawn	1919	1168	306	842	4235
Dismissed through mediation	9	43	0	0	52
Letters of caution (education)	N/A	267	59	281	607
Letters of admonition	60	182	36	106	384
Admonition or reprimand	3	3	30	0	36
Referred to court	849	257	203	270	1579
Other	0	119	0	0	119
III. CASES PROCESSED IN COURTS⁵					
Cases pending at start of period	22	156	42	21	241
Cases received	119	288	206	215	828
CASES CLOSED					
Disbarred	21	26	18	4	69
Resigned	8	18	0	1	27
Suspended	22	29	23	161 ⁶	235
Censured	2	12	11	8	33
Privately censured	2	0	0	0	2
Remanded to grievance committee	9	10	0	4	23
Discontinued	2	3	0	0	5
Dismissed	1	0	0	1	2
Reinstatements granted	8	18	15	4	45
Reinstatements denied	8	5	4	0	17
Non-Disciplinary Resignations	0	36	66	21	123
Other	31	73	74	5	183
TOTAL CLOSED:	114	230	217	209	764
TOTAL PENDING:	27	214	37	27	305

¹ "Matters" refers to the number of complaints, not attorneys.
² Includes complaints received and old matters reactivated.
³ Includes 693 attorneys suspended for nonpayment of registration fees; also included in totals of cases/matters.
⁴ Includes matters referred to other disciplinary committees and to other agencies.
⁵ "Cases" refers to the number of attorneys, not complaints.
⁶ Includes 143 attorneys who were suspended as a result of registration delinquencies.