



NEW YORK STATE
Unified Court System

OFFICE OF COURT ADMINISTRATION

LAWRENCE K. MARKS
CHIEF ADMINISTRATIVE JUDGE

JOHN W. McCONNELL
COUNSEL

March 4, 2016

Elena Ruth Sassower, Director
Center for Judicial Accountability, Inc.
P.O. Box 8101
White Plains, New York 10602

Via email to: elena@judgewatch.org

Dear Ms. Sassower:

This is in response to your Freedom of Information Law ("FOIL") request dated October 30, 2015, addressed to numerous agencies, concerning the Commission on Legislative, Judicial and Executive Compensation ("Commission").

Please be advised that information responsive to your request is available at the following website for the Commission:

<http://nyscommissiononcompensation.org/index.shtml>

Requests for records concerning appointments by the Governor, Senate, or Assembly should be addressed with those branches.

Attached please find a copy of Judge Jonathan Lippman's letter reflecting the appointment to the Commission of Sheila L. Birnbaum and the Honorable Barry A. Cozier.

Very truly yours,

Shawn Kerby
Assistant Deputy Counsel

Chief Judge of the State of New York



Jonathan Lippman

July 1, 2015

The Honorable Andrew M. Cuomo
Governor of New York State
NYS State Capitol Building
Albany, NY 12224

Speaker Carl E. Heastie
New York State Assembly
LOB 522
Albany, NY 12248

Senator John J. Flanagan
Temporary President and Majority Leader
New York State Senate
Room 805 Legislative Office Building
Albany, NY 12247

Dear Governor Cuomo, Speaker Heastie and Senator Flanagan:

It gives me great pleasure to inform you that, pursuant to L. 2015, c.60 (§3(1)), I have appointed Sheila L. Birnbaum, Esq. and Hon. Barry A. Cozier (ret.), as the Judiciary's designees to the Commission on Legislative, Judicial and Executive Compensation. I have designated Ms. Birnbaum to serve as Commission Chair. Copies of the curricula vitae of these appointees, with their contact information, are attached.

I have every confidence that Ms. Birnbaum and Judge Cozier, together with their Commission colleagues, will bring extraordinary commitment, skill, insight and integrity to fulfill the Commission's crucial mandate. Needless to say, the administrative offices of the Unified Court System are available to assist in this process in every way possible.

I hope that the important work of this Commission can be commenced as soon as possible. If we may be helpful in any manner, please do not hesitate to contact me or Chief Administrative Judge A. Gail Prudenti at any time.

Very truly yours,

A handwritten signature in cursive script that reads "Jonathan Lippman".

CJL/cg

cc: Chief Administrative Judge A. Gail Prudenti
Hon. Barry A. Cozier
Sheila L. Birnbaum, Esq.

CENTER for JUDICIAL ACCOUNTABILITY, INC.

Post Office Box 8101
White Plains, New York 10602

Tel. (914)421-1200

E-Mail: cja@judgewatch.org
Website: www.judgewatch.org

BY E-MAIL

October 30, 2015

TO: FOIL/Records Access Officers of the Governor, Temporary Senate President, Assembly Speaker, and Chief Judge:
Mongthu Zago, FOIL Counsel/Records Access Officer/Executive Chamber
Secretary of the Senate Francis Patience
Assembly Records Access Officer Robin Marilla
Shawn Kerby/Records Access Officer/Office of Court Administration

FROM: Elena Sassower, Director/Center for Judicial Accountability, Inc. (CJA)

RE: FOIL/Records Request: Commission on Legislative, Judicial and Executive Compensation

Pursuant to Public Officers Law, Article VI [Freedom of Information Law (F.O.I.L.)], Senate Rule XV ["Freedom of Information"], Assembly Rule VIII, ["Public Access to Records"] and §124 of the Chief Administrator's Rules, this is to request all publicly-available records that the Commission on Legislative, Judicial and Executive Compensation was established on June 1, 2015, as required by Part E of Budget Bill #S.4610-A/A.6721-A (Chapter 60 of the Laws of 2015) – and, specifically:

- (1) records establishing who are the three members of the Commission that the Governor was required to appoint and the dates of their appointments, including the Governor's signed/certified appointment letters and any public announcement or press release with respect thereto;
- (2) records establishing who is the one member of the Commission that the Temporary Senate President was required to appoint and the date of the appointment, including the Temporary Senate President's signed/certified appointment letter and any public announcement or press release with respect thereto;
- (3) records establishing that the Assembly Speaker appointed Roman Hedges as the one member he was required to appoint and the date of the appointment, including the Assembly Speaker's signed/certified appointment letter and any public announcement or press release with respect thereto;
- (4) records establishing the date on which the Chief Judge appointed Sheila Birnbaum and Barry Cozier to be the two members of the Commission he was required to appoint – including the Chief Judge's signed appointment letters;

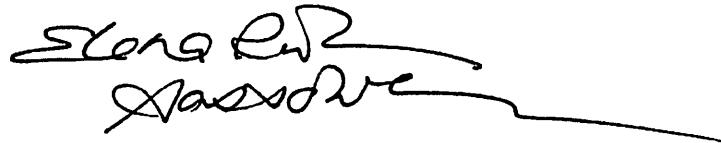
October 30, 2015

- (5) records establishing that the Chief Judge's designation of Sheila Birnbaum as Commission chair is consistent with the statute, namely, that it vests him with the power to designate which of his two appointees "shall serve as chair", rather than vesting it in the seven appointed Commissioners – or all four of the Commission's appointing authorities;
- (6) records establishing that the Commission is operational and has funding, staff, an office, phone number, e-mail, and website for discharging its statutory duties.

For your convenience, a copy of the statute – Part E of Budget Bill #S.4610-A/A.6721-A (Chapter 60 of the Laws of 2015) – is enclosed.

Pursuant to Public Officers Law §89.3, your response is required "within five business days" of receipt of this request. I would appreciate if you e-mailed it to me at elena@judgewidth.org.

Thank you.

A handwritten signature in black ink, appearing to read "Elena", with a long horizontal line extending to the right.

Enclosure

cc: Sheila Birnbaum, Esq.
Barry Cozier, Esq.
Roman Hedges, Ph.D
Committee on Open Government
The Public

Budget Bill S.4610-A/A.6721-A

**PART E – introduced on March 31, 2015 & adopted late that evening by the Senate
& in the early morning hours of April 1, 2015 by the Assembly**

COMMISSION ON LEGISLATIVE, JUDICIAL & EXECUTIVE COMPENSATION

Chapter 60 of the Laws of 2015

Section 1.

Chapter 567 of the laws of 2010 relating to establishing a special commission on compensation, and providing for their powers and duties; and to provide periodic salary increases to state officers is REPEALED.

Section 2.

1. On the first of June of every fourth year, commencing June 1, 2015, there shall be established a commission on legislative, judicial and executive compensation to examine, evaluate and make recommendations with respect to adequate levels of compensation and non-salary benefits for members of the legislature, judges and justices of the state-paid courts of the unified court system, statewide elected officials, and those state officers referred to in section 169 of the executive law.

2. (a) In accordance with the provisions of this section, the commission shall examine:

(1) the prevailing adequacy of pay levels and other non-salary benefits received by members of the legislature, statewide elected officials, and those state officers referred to in section 169 of the executive law; and

(2) the prevailing adequacy of pay levels and non-salary benefits received by the judges and justices of the state-paid courts of the unified court system and housing judges of the civil court of the city of New York and determine whether any of such pay levels warrant adjustment; and

(b) The commission shall determine whether: (1) for any of the four years commencing on the first of April of such years, following the year in which the commission is established, the annual salaries for the judges and justices of the state-paid courts of the unified court system and housing judges of the civil court of the city of New York warrant an increase; and (2) on the first of January after the November general election at which members of the state legislature are elected following the year in which the commission is established, and on the first of January following the next such election, the like annual salaries and allowances of members of the legislature, and salaries of statewide elected officials and state officers referred to in section 169 of the executive law warrant an increase.

3. In discharging its responsibilities under subdivision two of this section, the commission shall take into account all appropriate factors including, but not limited to: the overall economic climate; rates of inflation; changes in public-sector spending; the levels of compensation and non-salary benefits received by executive

branch officials and legislators of other states and of the federal government; the levels of compensation and non-salary benefits received by professionals in government, academia and private and nonprofit enterprise; and the state's ability to fund increases in compensation and non-salary benefits.

Section 3.

1. The commission shall consist of seven members to be appointed as follows: three shall be appointed by the governor; one shall be appointed by the temporary president of the senate; one shall be appointed by the speaker of the assembly; and two shall be appointed by the chief judge of the state, one of whom shall serve as chair of the commission. With regard to any matters regarding legislative or executive compensation, the chair shall preside but not vote. Vacancies in the commission shall be filled in the same manner as original appointments. To the extent practicable, members of the commission shall have experience in one or more of the following: determination of executive compensation, human resource administration or financial management.

2. The commission shall only meet within the state, may hold public hearings, at least one of which shall be open for the public to provide comments and shall have all the powers of a legislative committee pursuant to the legislative law. It shall be governed by articles 6, 6-A and 7 of the public officers law.

3. The members of the commission shall receive no compensation for their services but shall be allowed their actual and necessary expenses incurred in the performance of their duties hereunder.

4. No member of the commission shall be disqualified from holding any other public office or employment, nor shall he or she forfeit any such office or employment by reason of his or her appointment pursuant to this section, notwithstanding the provisions of any general, special or local law, regulation, ordinance or city charter.

5. To the maximum extent feasible, the commission shall be entitled to request and receive and shall utilize and be provided with such facilities, resources and data of any court, department, division, board, bureau, commission, agency or public authority of the state or any political subdivision thereof as it may reasonably request to carry out properly its powers and duties pursuant to this section.

6. The commission may request, and shall receive, reasonable assistance from state agency personnel as necessary for the performance of its function.

7. The commission shall make a report to the governor, the legislature and the chief judge of the state of its findings, conclusions, determinations and recommendations, if any, not later than the thirty-first of December of the year in which the commission is established for judicial compensation and the fifteenth of

November the following year for legislative and executive compensation. Any findings, conclusions, determinations and recommendations in the report must be adopted by a majority vote of the commission and findings, conclusions, determinations and recommendations with respect to executive and legislative compensation shall also be supported by at least one member appointed by each appointing authority. Each recommendation made to implement a determination pursuant to section two of this act shall have the force of law, and shall supersede, where appropriate, inconsistent provisions of article 7-B of the judiciary law, section 169 of the executive law, and sections 5 and 5-a of the legislative law, unless modified or abrogated by statute prior to April first of the year as to which such determination applies to judicial compensation and January first of the year as to which such determination applies to legislative and executive compensation.

8. Upon the making of its report as provided in subdivision seven of this section, each commission established pursuant to this section shall be deemed dissolved.

Section 4.

Date of entitlement to salary increase. Notwithstanding the provisions of this act or of any other law, each increase in salary or compensation of any officer or employee provided by this act shall be added to the salary or compensation of such officer or employee at the beginning of that payroll period the first day of which is nearest to the effective date of such increase as provided in this act, or at the beginning of the earlier of two payroll periods the first days of which are nearest but equally near to the effective date of such increase as provided in this act; provided, however, the payment of such salary increase pursuant to this section on a date prior thereto instead of on such effective date, shall not operate to confer any additional salary rights or benefits on such officer or employee. The annual salaries as prescribed pursuant to this act whenever adjusted pursuant to the provisions of this act, shall be rounded up to the nearest multiple of one hundred dollars.

Section 5.

This act shall take effect immediately and shall be deemed to have been in full force and effect on and after April 1, 2015.