

CENTER for JUDICIAL ACCOUNTABILITY, INC.*

Post Office Box 8101
White Plains, New York 10602

Tel. (914)455-4373

E-Mail: cja@judgewatch.org
Website: www.judgewatch.org

January 30, 2013

TO: Temporary Senate President Dean Skelos
Assembly Speaker Sheldon Silver

FROM: Elena Ruth Sassower, Director
Center for Judicial Accountability, Inc. (CJA)

RE: Enabling the Legislative Committees Directly Responsible for Oversight of the Judiciary's Budget to Discharge their Mandatory Legislative Function

Enclosed is the Center for Judicial Accountability's letter of today's date to the Chairs and Ranking Members of the Senate Finance Committee, the Assembly Ways and Means Committee, the Senate Judiciary Committee, and the Assembly Judiciary Committee – the legislative committees directly responsible for oversight of the Judiciary's budget. To assist them in discharging this oversight responsibility – and because CJA's October 27, 2011 Opposition Report and the verified complaint in our People's lawsuit based thereon, *Center for Judicial Accountability, Inc., et al. v. Andrew Cuomo, et al.*, are dispositive of the Legislature's duty to override the "the next phase of the judicial salary increase" for which the Judiciary is seeking funding for fiscal year 2013-2014 – please furnish these four committees with the copies you have of the aforesaid documents – all originals.

Indeed, should you deny or dispute that CJA's October 27, 2011 Opposition Report and the *CJA v. Cuomo* verified complaint each establish that this second phase of the judicial salary increase is, like the other two phases, unconstitutional, statutorily-violative, and fraudulent, demand is hereby made that you PROVE IT by:

- (1) producing such findings of fact and conclusions of law as you and/or Senate and Assembly counsel made with respect to the October 27, 2011 Opposition Report, if not, additionally, with respect to the four causes of action of the *CJA v. Cuomo* verified complaint;
- (2) testifying, under oath, at the February 6, 2013 joint legislative budget hearing on "Public Protection" at which the issue of the Judiciary's budget is to be considered.

Thank you.



Enclosure

cc: Additional Legislative Leadership:

Alternating Temporary Senate President Jeff Klein
Senate Minority Conference Leader Andrea Stewart-Cousins
Assembly Minority Leader Brian Kolb

Senate Finance Committee

Senator John A. DeFrancisco, Chair
Senator Liz Krueger, Ranking Member

Assembly Ways and Means Committee

Assemblyman Herman D. Farrell, Jr., Chair
Assemblyman Robert Oaks, Ranking Member

Senate Judiciary Committee

Senator John J. Bonacic, Chair
Senator Ruth Hassell-Thompson, Ranking Member

Assembly Judiciary Committee

Assemblywoman Helene E. Weinstein, Chair
Assemblyman Tom McKeivitt, Ranking Member

Judicial Branch Constitutional Officers

Chief Judge Jonathan Jonathan Lippman
Chief Administrative Judge A. Gail Prudenti

Executive Branch Constitutional Officers

Governor Andrew M. Cuomo
(& Budget Director Robert Megna)
Comptroller Thomas DiNapoli
Attorney General Eric T. Schneiderman

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January 30, 2013

TO: Senate Finance Committee
 Senator John A. DeFrancisco, Chair
 Senator Liz Krueger, Ranking Member
 Assembly Ways and Means Committee
 Assemblyman Herman D. Farrell, Jr., Chair
 Assemblyman Robert Oaks, Ranking Member
 Senate Judiciary Committee
 Senator John J. Bonacic, Chair
 Senator Ruth Hassell-Thompson, Ranking Member
 Assembly Judiciary Committee
 Assemblywoman Helene E. Weinstein, Chair
 Assemblyman Tom McKeivitt, Ranking Member

FROM: Elena Ruth Sassower, Director
 Center for Judicial Accountability, Inc. (CJA)

RE: February 6, 2013 Joint Legislative Hearing on “Public Protection”: The Legislature’s
 Mandatory Duty to Override the Judiciary’s Request for “funding for the next phase
 of the judicial salary increase”, in Discharge of its Checks-and-Balance
 Constitutional Function

Enclosed is the Center for Judicial Accountability’s letter of yesterday’s date to Chief Administrative Judge A. Gail Prudenti, putting her on notice that I would be testifying at the February 6, 2013 joint legislative hearing, in opposition to the Judiciary’s budget request for “funding for the next phase of the judiciary salary increase” – whose precise dollar amount I was unable to find in its \$1.7 billion “General Operating Fund Budget” for fiscal year 2013-2014. The letter was both faxed and e-mailed Chief Administrative Judge Prudenti, as likewise to Chief Judge Jonathan Lippman – and the fax and e-mail receipts are also enclosed.¹

¹ Because of the importance of the letter, I additionally telephoned their offices to confirm that the faxes had been received.

* **Center for Judicial Accountability, Inc. (CJA)** is a national, non-partisan, non-profit citizens’ organization, working to ensure that the processes of judicial selection and discipline are effective and meaningful.

In pertinent part the letter states:

“As I will be testifying at the February 6, 2013 joint legislative hearing in opposition to the Judiciary’s budget request for monies for the second phase of the judicial salary increases – and will do so based on CJA’s October 27, 2011 Opposition Report to the Commission on Judicial Compensation’s August 29, 2011 ‘Final’ Report and our People’s lawsuit based thereon, *Center for Judicial Accountability, Inc., et al. v. Cuomo, et al.*, against New York State’s three government branches and highest constitutional officers to void the judicial salary increases — demand is hereby made that you produce the Judiciary’s findings of fact and conclusions of law with respect to our October 27, 2011 Opposition Report and the four causes of action of the *CJA v. Cuomo* verified complaint.^{fn.}

Needless to say, your failure to use the opportunity of your February 6, 2013 appearance before the Legislature to contest our particularized showing that the judicial salary increases are unconstitutional, statutorily-violative, and fraudulent will be deemed a further concession that New York Chief Judge Jonathan Lippman and the Unified Court Administration cannot do so.” (at p. 3)

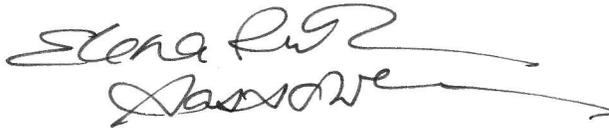
On January 10, 2013, when I first called each of your offices requesting to testify at the February 6, 2013 joint legislative budget hearing, I furnished the staff members with whom I spoke with the pertinent background information as to CJA’s October 27, 2011 Opposition Report and the March 30, 2012 verified complaint in *CJA v. Cuomo*. As these documents are dispositive of the Legislature’s duty to override the “next phase of the judicial salary increase”, I requested that your four legislative committees, each directly responsible for oversight of the Judiciary budget, review them, *in advance of the February 6, 2013 hearing*. I stated that this could easily be done because they are not only posted on CJA’s website, www.judgewatch.org, readily accessible *via* the top panel “Latest News”, but because multiple hard copies – indeed multiple originals – are in the possession of Temporary Senate President Skelos and Assembly Speaker Silver. I also stated that obtaining from Temporary Senate President Skelos and Assembly Speaker Silver, each lawyers, such findings of fact and conclusions of law as they made with respect to our October 27, 2011 Opposition Report, or as were made by Senate and Assembly counsel, would further establish the Legislature’s duty to override the judicial salary increase – and that, absent your obtaining same, your obligation was to yourselves make findings of fact and conclusions of law, in discharge of your mandatory oversight responsibilities.

So that I may guide my testimony accordingly, I would appreciate your confirmation that you and the members of your committees who will be attending the hearing will be fully familiar with the content of our October 27, 2011 Opposition Report and the March 30, 2012 verified complaint, *in advance of the February 6, 2013 hearing*, and that you will be notifying Chief Administrative Judge Prudenti to come to the hearing prepared to discuss the particularized showing of unconstitutionality, statutory violations, and fraud presented by the Opposition Report – if not by the four causes of action of the

CJA v. Cuomo verified complaint based thereon² – as you intend to interrogate her, extensively, with respect thereto, in furtherance of the “public protection” that is the “programmatic area” of the hearing – and that you are inviting Chief Judge Lippman to also be present to address same, pursuant to Article VII, §3 of the New York State Constitution and Legislative Law §53(2).

I am available to assist you with your pre-hearing preparation and would welcome the opportunity to speak with you personally and answer such questions as you have.

Thank you.



Enclosures

- cc: Judicial Branch Constitutional Officers
 - Chief Judge Jonathan Jonathan Lippman
 - Chief Administrative Judge A. Gail Prudenti
- Legislative Branch Constitutional Officers
 - Senate Majority Coalition Leaders Dean Skelos & Jeff Klein
 - Senate Minority Conference Leader Andrea Stewart-Cousins
 - Assembly Speaker Sheldon Silver
 - Assembly Minority Leader Brian Kolb
- Executive Branch Constitutional Officers
 - Governor Andrew M. Cuomo
 - (& Budget Director Robert Megna)
 - Comptroller Thomas DiNapoli
 - Attorney General Eric T. Schneiderman

² These four causes of action are:

First Cause of Action: “Evisceration of Separation of Powers: Collusion of the Three Government Branches against the People” (¶¶ 128-139);

Second Cause of Action: “Chapter 567 of the Laws of 2010 is Unconstitutional, As Written” (¶¶ 140-154);

Third Cause of Action: “Chapter 567 of the Laws of 2010 is Unconstitutional, as Applied” (¶¶ 155-166);

Fourth Cause of Action: “The Commission’s Judicial Pay Recommendations are Statutorily Violative” (¶¶ 167-172).

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January 29, 2013

TO: Chief Administrative Judge A. Gail Prudenti
New York State Unified Court System

FROM: Elena Ruth Sassower, Director
Center for Judicial Accountability, Inc. (CJA)

RE: (1) Clarifying the Judiciary's Budget for Fiscal Years 2013-2014 & 2012-2013:
(a) The dollar amounts sought by the Judiciary for judicial salary increases;
(b) The dollar amounts sought by the Judiciary for "compensation and non-salary benefits for judges and justices of the unified court system", *exclusive of salary*; and
(2) Production of the Judiciary's Findings of Facts and Conclusions of Law with Respect to CJA's October 27, 2011 Opposition Report and People's Lawsuit Based Thereon against New York State's Three Government Branches and Highest Constitutional Officers, CJA, et al. v. Cuomo, et al., to Void the Three-Phase Judicial Salary Increases

On February 6, 2013 the New York State Senate and Assembly will hold a joint legislative hearing on "Public Protection" at which you will be testifying in support of the Judiciary's budget for fiscal year 2013-2014.

In transmitting the Judiciary's \$1.7 billion "General Operating Fund Budget" for fiscal year 2013-2014, your November 30, 2012 letter to the Governor, to the Senate and Assembly Majority and Minority Leaders, and to the Chairs of the Senate Finance Committee, Assembly Ways and Means Committee, Senate Judiciary Committee, and Assembly Judiciary Committee identified that it included "funding for the next phase of the judiciary salary increase" (underlining added).

This "next phase" is the second phase of the three-phase judicial salary increase recommended by the August 29, 2011 "Final" Report of the Special Commission on Judicial Compensation.

Please advise where the dollar amount requested for this second phase of the judicial salary increase is set forth in the Judiciary's budget for fiscal year 2013-2014 – as I am unable to find it. By contrast, the dollar amount requested for the first phase of the judicial salary increase was in the Executive Summary of last year's "General Operating Fund Budget" for fiscal year 2012-2013, which listed it as \$27.7 million.

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Please also identify the dollar amounts, exclusive of salary, requested for “compensation and non-salary benefits for judges and justices of the state-paid courts of the unified court system” in the Judiciary’s budgets for fiscal years 2013-2014 and 2012-2013. It would appear that this information is contained – though not so-itemized or accessible – in the Judiciary’s separate budget of “General State Charges”, whose “Major Purpose” is summarized as:

“includ[ing] funding required for fringe benefits provided to state-paid judges and nonjudicial employees. Appropriations are required for pension contributions, Social Security and Medicare, health, dental, vision and life insurance, and employee benefit funds.” (underlining added).

The Judiciary’s budget of “General State Charges” for fiscal year 2013-2014 is over \$666 million dollars – a huge figure not identified by either of your two November 30, 2012 letters transmitting the two separate budgets. The Judiciary’s budget of “General State Charges” for fiscal year 2012-2013 was over \$582 million dollars – and this huge figure, also, was not identified by the two November 30, 2011 budget-transmitting letters of your predecessor, Chief Administrative Judge Ann Pfau.¹

In testifying last year at the Legislature’s January 30, 2012 joint budget hearing on “Public Protection”, Senate Judiciary Committee Chair John Bonacic noted that “some of the Senators in the past have expressed concerns about having more itemization from the Judiciary in line item”. He stated that although the Judiciary presents its budget “in the same form as the Legislature”, the Legislature, every six months, “file[s] financial statements with more specificity”. He suggested that further information from the judiciary, including on its website, would be “helpful with more transparency” (at 0:25:01). Your response was as follows:

“Thank you, Senator, and I do understand this issue and I understand that more than a budget issue, it really is one that really does lend itself to expenditure reports and I’m sensitive to that. We do prepare similar reports at different levels and I think you make a very, very good suggestion and I promise you that I will look, I will work with you and your office and any of the other members from the Senate and Assembly who have the same concerns. I’m also hopeful, you know, as you know that the state comptroller is moving to a new financial system and we will be somehow able – in this new system – to create these type of reports. I really think that this new system will enhance transparency and openness. You know the way that the Senate and Assembly does business is a little bit differently, of course, than the court system. But I really think we can work together and we can, we will be able to provide you with the information that you request and the public deserves.” (at 0:25:50).

¹ Interestingly, the year earlier, for fiscal year 2011-2012 – the first time the Judiciary separately itemized its “estimates of funding for General State Charges necessary to pay the fringe benefits of judges, justices, and nonjudicial employees separately from itemized estimates of the annual operating needs of the Judiciary” – the “General State Charges” was \$617.3 million.

As I will be testifying at the February 6, 2013 joint legislative hearing in opposition to the Judiciary's budget request for monies for the second phase of the judicial salary increases – and will do so based on CJA's October 27, 2011 Opposition Report to the Commission on Judicial Compensation's August 29, 2011 "Final" Report and our People's lawsuit based thereon, *Center for Judicial Accountability, Inc., et al. v. Cuomo, et al.*, against New York State's three government branches and highest constitutional officers to void the judicial salary increases — demand is hereby made that you produce the Judiciary's findings of fact and conclusions of law with respect to our October 27, 2011 Opposition Report and the four causes of action of the *CJA v. Cuomo* verified complaint.²

Needless to say, your failure to use the opportunity of your February 6, 2013 appearance before the Legislature to contest our particularized showing that the judicial salary increases are unconstitutional, statutorily-violative, and fraudulent will be deemed a further concession that New York Chief Judge Jonathan Lippman and the Unified Court Administration cannot do so.

Thank you.



cc: Judicial Branch Constitutional Officers
Chief Judge Jonathan Lippman
Executive Branch Constitutional Officers
Governor Andrew M. Cuomo
(& Budget Director Robert Megna)
Comptroller Thomas DiNapoli
Attorney General Eric T. Schneiderman
Legislative Branch Constitutional Officers
Senate Majority Coalition Leaders Dean Skelos & Jeff Klein
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Senator John J. Bonacic, Chair;
Senator Ruth Hassell-Thompson, Ranking Member
Assembly Judiciary Committee
Assemblywoman Helene E. Weinstein, Chair
Assemblyman Tom McKeivitt, Ranking Member

² Although the Unified Court System has CJA's Opposition Report and the *CJA v. Cuomo* verified complaint, these documents are also readily accessible from our website, www.judgewatch.org, including via the top panel "Latest News".

Center for Judicial Accountability, Inc. (CJA)

From: Center for Judicial Accountability, Inc. (CJA) <elena@judgewatch.org>
Sent: Tuesday, January 29, 2013 3:59 PM
To: 'aprudent@courts.state.ny.us'; bwalker@nycourts.gov
Cc: 'jlippman@courts.state.ny.us'
Subject: The Judiciary's Budget & Production of the Judiciary's Finding of Facts & Conclusions of Law
Attachments: 1-29-13-ltr-to-prudenti.pdf

Dear Chief Administrative Judge Prudenti,

Attached is CJA's letter of today's date, already faxed to you and to Chief Judge Lippman. It is also posted on our website, www.judgewatch.org, accessible *via* the top panel "Latest News". For your convenience, here's the direct link: <http://www.judgewatch.org/web-pages/cja/latest-news.htm>.

Inasmuch as the Legislature's February 6, 2013 Joint Hearing on the Judiciary's Budget is next week, I would appreciate your expeditious response, by e-mail.

Thank you.

Elena Sassower, Director
Center for Judicial Accountability, Inc. (CJA)
914-455-4373
elena@judgewatch.org

*** TX REPORT ***

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