(914) 421-1200 • Fax (914) 684-6554 E-Mail: probono@delphi.com

Box 69, Gedney Station White Plains, New York 10605

By Fax: 312-988-5280

October 17, 1995

John A. Holtaway, Assistant Regulation Counsel Standing Committee on Professional Discipline American Bar Association 541 North Fairbanks Court Chicago, Illinois 60611-3314

Dear Mr. Holtaway:

This letter responds to yours of October 11th. As we have stated, over and again, the December 1982 report you sent us is entitled "the Lawyer Disciplinary System of the Appellate Division, First Department, New York". Copies of the coverpage and Table of Contents are enclosed.

Yet, according to the Introduction of the Report:

"...on May 6, 1981, Honorable Lawrence H. Cooke, Chief Judge of the Court of Appeals of New York, invited the Standing Committee to conduct an evaluation of the disciplinary systems of all four judicial departments in New York. Accordingly, a statewide evaluation team of six members was sent to visit the four judicial departments on March 4-5, and 8-11, 1982. The members who visited the First and Second Departments were...Jeanne P. Gray, Director of the ABA National Center for Professional Responsibility..." (at pp. 1-2, emphasis added).

We understand that your "records reflect there was only one written report issued by the ABA following the 1982 consultation with the New York disciplinary system" (emphasis added). However, since Ms. Gray, with whom you work, actually participated in the ABA study, we would appreciate her confirmation that there were no additional reports addressing the disciplinary mechanisms within the other departments with the specificity that appears in the December 1982 Report relative to the First Department.

October 17, 1995

I would note that several weeks ago I was told--perhaps by you or possibly by Brad Hoffman, Assistant to Ms. Gray--that the reason we had gotten no response to our request for ABA reports on the disciplinary systems in New York's other appellate departments was that they were "confidential" and that the report on the First Department we had received had been sent to us in error.

I know I discussed the "confidentiality" issue at some length with Mr. Hoffman on September 29th--and that he was supposed to "get back to me" promptly about it since, as I told him, we are presently before the New York State Court of Appeals raising a constitutional challenge to New York's attorney disciplinary law. Yet, despite several messages left for Mr. Hoffman, he has not returned my calls.

Indeed, in my conversation with Mr. Trombadore last week, I expressly brought up the fact that I had been told that the reports were "confidential". Inasmuch as Mr. Trombadore, although Chairman of the Standing Committee on Professional Discipline, was unaware of the 1982 ABA report on the New York system until I told him about it and expressed surprise that it would be considered "confidential"--I believe Ms. Gray should, additionally, clarify the "confidentiality" issue for him.

Yours for a quality judiciary,

ELENA RUTH SASSOWER, Coordinator Center for Judicial Accountability, Inc.

Elena Raki Sansohr

cc: Raymond R. Trombadore, Chair

ABA Standing Committee on Professional Discipline

Jeanne P. Gray, Director

ABA Center for Professional Responsibility

Enclosures

EVALUATION OF THE LAWYER DISCIPLINARY SYSTEM OF THE THE APPELLATE DIVISION, FIRST DEPARTMENT, NEW YORK

FINAL REPORT December, 1982

Sponsored by the
American Bar Association
Standing Committee on Professional Discipline

TABLE OF CONTENTS

	TOPIC	PAG
	INTRODUCTION	1
	OVERVIEW	4
	General	4
	Appellate Division, First Department	8
	Major Concerns	13
I.	UNIFIED DISCIPLINARY SYSTEM	18
	Centralization	19
	Budget	21
	Board Attorney	23
	Hearing Committees	24
	Review of Recommended Disposition	25
	The Board	27
	Counsel	28
II.	CMDUCMUDA AND COLOR	
11.	STRUCTURE AND STAFF, FIRST DEPARTMENT	29
	Bifurcation of Prosecutorial and Adjudicative Functions	29
	Hearing Panels	34
	Office of the Chief Counsel	35
	Delay	36
III.	PRACTICE AND PROCEDURES, FIRST DEPARTMENT	38
	Confidentiality	38
	Procedural Rules	41
	Reinstatement	44
	Immunity	46
	Appeal by Complainant	

TABLE OF CONTENTS (con't)

	TOPIC	DAGE
	Interim Suspension	PAGE
	Discipline on Consent	48
		49
	Disability Inactive Status	51
	Probation	51
	Records Retention	52
	Costs and Restitution	
ıv.	INTRA-AGENCY RELATIONS, FIRST DEPARTMENT	53
	Training	53
	Educating the Public and the Bar	53
		55
	Discipline in the Federal Court	57
	CONCLUSION	