BEFORE THE NEW YORK STATE SENATE 1 STAL DING COMMITTEE ON JUDICIARY 2 3 Public Hearing on the 4 Appellate Division First Department Departmental Disciplinary Committee, 5 the Grievance Committees of the Various Judicial Districts, and the 6 New York State Commission on Judicial Conduct _ _ _ _ _ 7 Hearing Room 6 8 Empire State Plaza Albany, NY 9 June 8, 2009 10 10:35 a.m. 11 PRESIDING: 12 Senator John Sampson 13 Chair Senate Standing Committee on Judiciary 14 15 PRESENT: Senator John A. DeFrancisco (R) 16 Senator Bill Perkins 17 18 19 20 21 22 23 24

LIST OF PARTICIPANTS

1	<u>HIDI OI IMKIIOIIIMID</u>	
2		<u>STATEMENT</u>
3	Martin R. Gold Alan W. Friedberg	
4	First Department DDC	9 - 3 4
5	Christine C. Anderson, Esq.	34-48
6	Kevin McKeown	48-63
7	Hon. Thomas A. Klonick Robert H. Tembeckjian Commission on Judicial Conduct	63-79
8	Commission on Judicial Conduct	
9	Justice Duane A. Hart	80-97
10	Pamela Carvel	98-109
11	Paul H. Altman	109-120
12	Luisa C. Esposito	120-128
13	William Galison	129-143
14	Eleanor Capogrosso, Esq.	143-158
15	Robert Ostertag NYS Bar Association	158-169
16	John A. Aretakis, Esq.	169-182
17 .	Michael Kelly	182-185
18	Kathryn Grace Jordan	
19	End Discrimination Now	185-191
20	James A. Montagnino, Esq.	192-203
21	Ruth M. Pollack, Esq.	204-216
22	Kevin Patrick Brady	217-219
23 24	Carl Lanzisera Americans for Legal Reform	219-225
27		

All right, we're CHAIRMAN SAMPSON: 1 getting ready to start. 2 Ruth Pollack, Ms. Pollack, go right 3 ahead. 4 Good afternoon, MS. POLLACK: 5 Senator, and thank you very much. My name 6 is Ruth Pollack. I'm an attorney in the 7 east end of Long Island -- Riverhead, New 8 York. Practiced 26, going on 27 years. 9 I love my career, and I have had a 10 wonderful career. I've practiced in just 11 about every court that you can practice in. 12 I've tried cases in Surrogate's Court and 13 took a verdict at 2 o'clock in the morning 14I have been in the state and 15 and won. federal courts and agencies, and I'm a 16 former prosecutor for the Nassau DA about 17 26, 27 years ago. There's not much I 18 haven't seen and done. And for the most 19 part I love what I do, and I look forward to 20 any new attorney or judge that I meet. 21 I'm here today, however, because of the 22 breakdown of the system and my brief 23 suggestions for what I think, as a member of 24

1 the brothers and sisters in law in the 2 trenches, we can do to fix it. 3 I got ovarian cancer in June of 2003. 4 I went through the full monty, and I 5 survived that. I'm now considered cured, 6 even though I still suffer from lymphodema 7 in both my legs. That means I carry 60 8 pounds of extra fluid in my legs every day, 9 so I'm partially physically disabled. And 10 of course, as a survivor, we don't seek 11 sympathy, we just want understanding and 12 some accommodation. I'm just happy to be 13 here and happy to be alive. 14 But I tried a case in Eastern District 15 federal court against the US government, and 16 many of my cases, despite my stature, I go 17 up against some big-league people. I go up 18 against the federal government, I've gone up 19 against banking institutions, many school 20 districts and so forth. I have an asbestos 21 case involving a school district on Long 22 Island right now. My cases are 23 controversial, and I'm not afraid to go 24

after anyone, including an attorney, if the

attorney is doing something that is improper.

1

2

When I returned back into active duty, 3 so to speak, as an attorney, the case that I 4 had against the federal government was, 5 partly on account of my disability, 6 dismissed in the middle of my direct 7 examination of -- I must have been through 8 about eight to 10 witnesses at that point. 9 And that was on June 5, 2007. 10 That led to a contempt hearing, and I 11 was held in contempt of court, and I'll move 12 on from there. 13 The very next day I walked into an 14 ongoing Family Court case in Manhattan 15 Family Court, the Jubb case, J-U-B-B, 16 representing a father and his infant son --17 or actually I represented the father on 18 behalf of him and his son. And I'd been 19 there many times before. I'd objected to 20 what I saw was tampering of witnesses by 21 Child Protective Services, tampering with 22 records, the general poorly run courtroom 23 and poorly run proceedings. 24

1	And at 9:30 in the morning, in Family
2	Court at 60 Lafayette, and at the beginning
3	of a hearing before Judge Susan Knipps, a
4	male court officer proceeded to come toward
5	me without provocation. He placed me in a
6	forward in a front headlock before I was
7	able to sit down. And the ten or so court
8	officers that were already in the courtroom
. 9	when I walked in, of that group, about five
10	of them came around me from behind and put
11	me in a full bodylock, lifted me from the
12	floor, dragged me out, crashed me against
13	the wall, and then threw me out into the
14	court lobby, physically, bodily.
15	Everyone I have lay witnesses and I
16	have my client and other witnesses to this
17	occurrence. Everyone in the courtroom, the
18	judge and everyone, denied that it happened.
19	I had done nothing. The judge said, "Clear
20	the courtroom now," when I had simply said
21	to the court officer: "I have two
22	witnesses, they're not testifying." And
23	that's all I said.
24	As a result, I suffered tremendous

•

216

.

	21
1	posttraumatic stress syndrome. I never
2	walked into a courtroom again feeling the
3	same safety and security that I had for 26
4	years.
5	I filed a case against the State of
6	New York which is pending with the Attorney
7	General's office. I had hoped and I am
8	hoping that the Attorney General will
9	investigate this.
10	But then it continued. Because
11	thereafter, on September 28th of 2007, when
12	I went back to that court to the financial
13	judicial hearing officer for the monetary
14	portion of the case, the record was shut off
15	by the JHO and the court officers slammed my
16	desk against the wall and told me to get up,
17	and my client, and get out. And they
18	surrounded us, but they didn't touch us. I
19	took the badge numbers as well. So it was
20	more internal terrorism, so to speak.
21	I have never before been attacked by
22	anyone in my lifetime. So this was, again,
23	more of a message of some sort; I'm not sure
24	what.

Since that time, I've been menaced by court officers on behalf of two judges in district court in Hempstead in a criminal case, because I do a lot of criminal defense work, and that has caused me great consternation. I've had witnesses to that occurrence as well -- I have witnesses.

1

2

3

4

5

б

7

24

I'm here because while I could go on 8 and on about my long career -- and my 9 curriculum vitae is up there for you and for 10 the panel -- the system is breaking down. 11 We need to fix it. It's worthy of that. 12 You know, my father was a top gun in the 13 Second World War, and I still have him 14 today. And it's because of him and our 15 foremothers and forefathers that we're here 16 today able to speak out about how we feel 17 about this country and our state. 18

19And I'm here to be part of the20solution, not part of the problem. And I21will do everything that I can to be part of22the solution, which is a huge, huge -- in23need of huge help.

I personally am now -- I've been

1	suspended for two years. It started out
2	with a 45-day suspension with a threat of
3	six months' incarceration. And I served my
4	45 days of suspension in the Eastern
5	District of New York because I disagreed
6	with a judge and because I missed a day of
7	court due to my legs. I went to two federal
8	courthouses in the Eastern District, neither
9	of which are ADA-compliant. I have
10	complained about it; nothing's been done.
11	So that my disabled clients who are also
12	whistleblowers and I have difficulty
13	parking to get to those courthouses.
14	I'm moving rather rapidly because I
15	just want to hit on certain points that I
16	think people should know.
17	Since that time, I have had since
18	the federal suspension which I served, I was
19	advised rather cryptically that they thought
20	that I had violated my 45-day suspension by
21	using my former law partner to cover my
22	cases for me, one case for me. And so they
23	just sent me a letter saying, You haven't
24	responded in 20 days, so we're going to

suspend you for two years now. 1 Who is this? CHAIRMAN SAMPSON: 2 MS. POLLACK: Only in Eastern 3 District. That was Judge Cogan. They claim 4 that there is an Eastern District of New 5 York grievance committee, but I have never 6 seen any such thing. 7 So you were CHAIRMAN SAMPSON: 8 suspended from practicing in the Eastern 9 District? 10 MS. POLLACK: Only. For two more 11 That's where almost all of my cases years. 12 are. One of my clients is here in the 13 audience today, Mr. Kevin Chesney. 14 Again, that was to put me out of . 15 business. That was to get rid of me. My 16 own appointed attorney told me to give up 17 all my cases in the Eastern District, submit 18 to urine tests or else I would go to jail. 19 And that would be the best thing, you know, 20 she could do. She accused me of being 21 mentally ill, something I am clearly not. 22 So I was essentially put in a position 23 where they were going to have me suspended 24

to get me out of the Eastern District come 1 hell or high water. And that's where I am 2 today, fighting all the way to come back. 3 And that is a fight for another day. 4 But the point is that now the Eastern 5 District has sent paperwork behind the 6 scenes, without my knowing what it is, to 7 the 10th Judicial District where I reside, 8 and Rita Adler, who is the chief counsel 9 there, has bombarded me with letter after 1.0 letter after letter after letter, day after 11 day after day, relating to that case in 12 which I was held in contempt in 2007, saying 13 that she thinks I'm a criminal and I should 14 be treated as a criminal and I shouldn't be 15 allowed to practice and we should do 16 something about this woman --17 I mean, when you CHAIRMAN SAMPSON: 18 say -- she didn't write you a letter to that 19 extent. 20 She wrote a letter to MS. POLLACK: 21 Mr. Pelzer to that effect. 22 CHAIRMAN SAMPSON: Not calling you a 23 criminal. 24

1	MS. POLLACK: "What she did was
2	criminal" essentially is what she says in
3	her letter. And that's part of my packet.
4	Basically saying, yeah, her actions are
5	criminal, they're you know, quite strong,
6	actually citing to sections of the Penal
7	Law. And as a criminal defense attorney and
8	former prosecutor, I'm very well acquainted
9	with the Penal Law.
10	So part of her approach, if we may look
11	at how rules are to be followed, is she
12	pretended, as did a member of the 10th, that
13	she couldn't reach me or serve me.
14	So an investigator came to my home,
15	left a business card in my door which
16	could have floated off into the atmosphere.
17	My 86-year-old father saw that, saw orders
18	slipped under the door.
19	And one day when I walked into my
20	office in 2008, I was met with an order that
21	was taped to my door with red masking tape
22	I'm holding it up now which I took a
23	picture of and blew up so that you could see
24	the door of my office. Everybody in my

1	office building saw this, my suite with this
2	red masking tape I don't know where you
3	get it taped to my door.
.4	So again, these terror tactics or
5	whatever you want to call them have been
6	used to intimidate me and to make me go
7	away. I may just be a country girl from the
8	east end, but I do not go away. I have
9	always fought for the underdog my whole
10	life. I've seen injustices since I was a
11	kid. And I do discriminate; I represent
12	everybody. I don't care who or what the
13	person is about, I represent them all.
14	Mr. Kelly, in Rockland, is my newest
15	client.
16	I'm an outsider. I'm a new kid on the
17	block. I've seen what he has described. It
18	is a fact. I have never had any problems in
19	my life of any kind, criminal or otherwise,
20	other than one incident with the grievance
21	committee back in the late '90s involving a
22	matrimonial which was clearly a political
23	way of getting a case, a very interesting
24	case involving the Manuses, Morton Manus, a

.

223

.

1	matrimonial, away from me when it was going
2	rather well and given to another attorney.
3	And because I stood up for my retaining lien
4	and I was in the middle of a retaining lien
5	hearing, I had charges brought up against
6	me. But my case was transferred to the
7	9th District that didn't know me at all. So
8	that was my first foray into the 9th.
9	CHAIRMAN SAMPSON: So if you want to
10	sum it up, Ms. Pollack.
11	MS. POLLACK: Yeah. My summary is
12	that the solution to these many things that
13	you've heard today, without my repeating the
14	many things that we've heard as a group
15	here, is that we need transparency, and the
16	transparency must look like this.
17	We need transparency in terms of judges
18	and all public officials that serve in our
19	system and on these committees should
20	disclose what insurance companies insure
21	them, what financial institutions they have
22	their pensions or finances in through the
23	system, what banks are involved, what
24	disability insurance companies are involved

•

-- because when I've sued these various 1 types of companies, I've never known if 2 there was a conflict of interest between 3 those people that I was working in front of 4 as judges or against as litigants, if there 5 was a conflict of interest. 6 So I highly, highly support full 7 disclosure of any and all of those types of 8 things on the docket, including all 9 committee members on all of the committees 10 we've discussed today. Who they are, where 11 they're from, all of their affiliations, 12 what their trainings are. I can't find any 13 of it, and I've looked all over the place. 14 Who are the people on the committee, where 15 did they come from, et cetera. 16 And I don't think I need to go on, I 17 think it's a point that's been taken. 18 CHAIRMAN SAMPSON: Thank you very 19 much. 20 MS. POLLACK: And I just wanted to 21 thank you sincerely for your time. 22 Thank you very CHAIRMAN SAMPSON: 23 much, Ms. Pollack. Thank you very much. 24