

UNIFORM JUDICIAL QUESTIONNAIRE

CONFIDENTIAL

1. Full Name:

Have you ever been known by any other name (other than a recognizable nickname)? Yes No
If so, specify the name(s) and year(s) of name change and/or the years during which the other name or names were used:

2. Date and place of birth:

3. Current residence address:

4. Current home telephone:

Number of years at current address: _____ If less than one year, previous address(es) during past year:

5. Do you rent, other than for a season, or own any additional homes? Yes No
If so, please list address(es):

6. Current business address:

7. Current business telephone:

8. Marital Status: single married divorced

9. Identify the Court or public office and, where applicable, Judicial Department, Judicial District, County, or Court District for which you are a candidate and state whether you are a candidate for election or for appointment:

10. (For elective offices) Political party or parties which are supporting your candidacy, or of which you are seeking nomination:

11. Did you serve in the armed forces? Yes No If so, give the following information:
(a) Dates of service: (b) Branch of service: (c) Nature of discharge:

EX "E"

UNIFORM JUDICIAL QUESTIONNAIRE

Name: _____

12. List in reverse chronological order all employment and periods of unemployment since graduation from law school. (If law school attendance did not commence within a few months following completion of undergraduate course study, list also all employment and periods of unemployment between college and law school.) Continue on additional page, if necessary.

Name of Firm or Employer	Address	Name of Supervisor	Dates of Employment (Month & Year)	Nature of Employments (or activity while unemployed)	Reason for Leaving

13. (a) Have you ever held public or political office, elected or appointed, other than ones listed in answer to Question 12 above? Yes No If so, please state position held, dates of service and whether the office was attained by election or appointment:

(b) Do you or any members of your family hold any memberships (other than voter registration) in political organizations or engage actively in any political activities? Yes No If so, please describe:

(c) Are you related by blood or marriage to anyone involved in the appointing or nominating process with respect to the position you are seeking? Yes No If so, please give name, position and relation:

(d) Are you related by blood or marriage to any attorney or judge, or to any other person whose employment, position, affiliation or activity may reasonably create, with respect to your carrying out the duties of the office you are seeking, the appearance of a conflict of interest? Yes No If so, please supply name, relation and employment, position, affiliation or activity:

UNIFORM JUDICIAL QUESTIONNAIRE

Name: _____

14. Have you ever been engaged, on your own account or with others, in any business or profession, part-time or full-time, other than those listed in your answer to question 12? Yes No

Type of Business or profession	Name of Employer	Address	Position held	Dates involved	Reason for termination of business

15. (a) Have you ever been issued a license, other than a marriage license, license to practice law, license as a notary public or a driver's license? Yes No If so, please describe the license, and list the dates of its initial issuance and its last renewal:

(b) Has such license, or a driver's license, ever been revoked or suspended? Yes No If so, please describe the circumstances:

16. College and professional schools (other than law schools) attended:				Dates	
<u>School</u>	<u>Location</u>	<u>Degree</u>	<u>Honors</u>	<u>Attended</u>	<u>Date of Graduation</u>

17. Law Schools attended:				Dates	
<u>School</u>	<u>Location</u>	<u>Degree</u>	<u>Honors</u>	<u>Attended</u>	<u>Date of Graduation</u>

18. Post-Law School Continuing Legal Education:			
<u>Description of Course</u>	<u>Sponsor</u>	<u>Number of Hours</u>	

19. State all courts in which you are admitted to practice, together with dates of admission:

(a) New York (Give Judicial Department):

Dates of Admission:

(b) All other federal and state courts:

(i):

(ii):

(iii):

(iv):

20. State all areas of law in which you have concentrated:

21. Have you ever resigned from a position as, or, for other reasons, ceased to be:

(a) a member of the bar or bench of any state or court in any jurisdiction? _____ Yes _____ No

(b) a member of a governmental body, a hearing officer or magistrate or an occupant of any other similar position? _____ Yes _____ No

If so, please describe:

22. Are you now, or have you ever been, the subject of any formal complaint, charge or claim of malpractice arising out of your official or professional responsibilities during the course of your:

(a) law practice? _____ Yes _____ No

(b) public or judicial service? _____ Yes _____ No

(c) campaign for public or political party office? _____ Yes _____ No

If so, please describe each complaint, charge or claim and its outcome, including whether the governmental agency or other entity to which such complaint, charge or claim was made censured you, issued a caution, imposed a sanction or took any other action whatsoever criticizing your conduct, even if the complaint, charge, or claim was dismissed:

23. Have you, your firm, employer or any of your clients ever been cited for contempt or otherwise had a sanction imposed upon you or them as a result of your conduct in any judicial or administrative proceeding? _____ Yes _____ No

If so, please describe, even if the citation or sanction was later withdrawn, suspended or modified:

UNIFORM JUDICIAL QUESTIONNAIRE

Name: _____

48

24. Have you ever been cited for contempt of court, other than on the occasions listed in your answer to question 23, or been summoned, arrested or charged with any offense or crime other than a nonmoving traffic violation (include proceedings in the armed forces), even if the citation, summons, arrest or charge was later withdrawn, voided, vacated or dismissed? Yes No If so, please describe the nature and outcome of each case:

25. In relation to any conduct, act or omission on your part or done with your knowledge, has any federal, state, city or other governmental agency, or a grand jury initiated or completed an investigation of you or of any law firm, corporation, business, partnership, joint venture, government agency or other similar entity with which you are, or were at the relevant time, affiliated? Yes No If so, please describe each investigation and its outcome:

26. Have you ever been involved as a party to any litigation (criminal, civil or administrative), including any action based on an allegation of malpractice? Yes No If so, please describe:

27. Are there any unsatisfied judgments outstanding against you? Yes No If so, please describe:

28. Are you in default of any court order, including alimony or support decrees? Yes No If so, please describe:

29. Has any petition in bankruptcy ever been filed by or against you? Yes No If so, please describe:

30. Have you timely filed all required Federal, State and City income tax returns appropriate to your place of residence? Yes No If not, please describe:

UNIFORM JUDICIAL QUESTIONNAIRE

Name: _____

31. Have you ever been treated for, or had any problem with, alcoholism or any related condition associated with the consumption of alcoholic beverages or any other form of drug addiction or dependency? Yes No
Other than with routine, short-term psychiatric counseling, have you ever been treated for, or suffered from, any form of mental illness, condition or disorder? Yes No

If so, please describe each treatment, problem, related condition, drug addiction, drug dependency, mental illness, condition and disorder, including the nature and result of any related proceeding brought against you:

32. Do you have any illness, condition or disorder, mental or physical, which could in any way affect your performance in, or the time you could devote to fulfilling the obligations of, the position you are seeking? Yes No
If so, please describe:

33. Are you a member of any bar association or professional organization? Yes No If so, please give the following information for each such association or organization:

<u>Name of Association</u>	<u>Dates of Membership</u>	<u>Committee Service</u>
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34. Describe any significant community activities in which you have engaged:

35. Have you written articles for publication? Yes No If so, give the name and date of the publication and the title of each article:

36. Have you had any teaching experience in law or related fields? Yes No If so, please describe:

37. Have your qualifications for public office previously been reviewed by any bar or other professional association? Yes No If so, please identify the organization, state the date of the review, and detail all findings by the organization:

UNIFORM JUDICIAL QUESTIONNAIRE

Name: _____

50

38. (a) Do you subscribe, and have you adhered, to the campaign guidelines established for judicial candidates by the New York State Bar Association (Published in the *New York State Bar Association Journal*—Committee on Professional Ethics Opinion No. 289, dated April 27, 1973)? _____ Yes _____ No

If you answered this question in the negative, please explain:

- (b) If you are seeking elective office, have you, or has anyone on your behalf, issued any campaign literature concerning the election in which you are a candidate? _____ Yes _____ No If so, please attach copies.

ATTACH THE FOLLOWING SCHEDULES TO THIS QUESTIONNAIRE.
AS TO EACH SCHEDULE, SPECIFY THE QUESTION NUMBER TO WHICH IT APPLIES.

39. For Attorneys: Attach a statement specifying:

- (a) the types (i.e., civil or criminal, state or federal) and number of cases you have tried in the past ten years;
- (b) the number of the cases in category (a) of this question which ended in a verdict or judgment by the trier of fact;
- (c) the number of cases listed in category (a) of this question which were tried before a jury;
- (d) the courts in which the cases were tried;
- (e) the number and types of appeals briefed in the past ten years;
- (f) the number of appeals argued and the courts in which the arguments were heard; and
- (g) the title and citation of reported cases tried and appeals argued.

40. For Attorneys: Submit a list of the last ten trials or appeals in which you have actively and substantially participated in any state or federal court at the trial or appellate level, including the title of the case, the index, docket or indictment number, the court in which the case was heard, a concise description of the nature of the case, the date of the trial or oral argument, the name, address and telephone number of each adversary and co-counsel, and the name(s) of the judge(s) who presided at trial or sat on the appellate panel. For each appellate matter, please submit one copy of your brief.

41. For Attorneys: Submit a list of the name, court and telephone number of the last ten judges, other than the judges listed in your answer to question 40, before whom you appeared in the last three years (other than for routine calendar appearances).

42. For Attorneys: If you have not had significant litigation experience, please attach a statement giving a concise description of your practice. Append to that statement a general description of the last ten matters you handled and the names, addresses and telephone numbers of the lawyers, other than your associates, employees, partners, co-tenants, supervisors or employers, with whom you worked on each of those ten matters.

43. For Judges: Submit a list of your ten most recent opinions with the citation to each or a copy thereof if not published.

44. For Judges: Submit a list of the last ten trials or appeals over which you have presided, including the title and dates of each case, a brief description of the nature of each case, and the names, addresses and telephone numbers of the attorneys involved.

45. For Judges: Submit a list of the governmental agencies, private agencies, private lawyers and private law firms which have appeared before you in the last year on a regular basis, specifying the name and phone number of the supervising attorneys for such agencies and law firms.

46. For all candidates: Submit a list of the names, addresses and telephone numbers of any judges, public officials or attorneys whom you suggest the Committee contact with respect to your candidacy.

47. For all candidates: Please specify any additional information which it is reasonable to expect the Committee would want to know when it considers your qualifications for the office you seek.



I ACKNOWLEDGE BY MY SIGNATURE THAT THE ANSWERS I HAVE GIVEN ARE TRUE AND COMPLETE AND RECOGNIZE MY CONTINUING OBLIGATION DURING THE EVALUATION PROCESS TO CORRECT AND SUPPLEMENT MY ANSWERS IN ORDER TO ENSURE THAT THEY REMAIN TRUE AND COMPLETE.

Date

Signature