1 UNITED STATES DISTRICT COURT 1 SOUTHERN DISTRICT OF NEW YORK 2 -----x 3 DORIS L. SASSOWER, 4 Plaintiff, 5 v. 94 Civ.l 4514 (JES) HON. GUY MANGANO, et al., 6 7 Defendants. 8 -----x \_ \_ \_ \_ \_ \_ 9 New York, N. Y. September 28, 1995 10 Before: 11 HON. JOHN E. SPRIZZO, 12 District Judge 13 APPEARANCES 14 DORIS SASSOWER, pro se 15 16 DENNIS C. VACCO, Attorney General of the State of New York Attorney for Defendant 17 BY: JAY WEINSTEIN 18 Assistant Attorney General 19 20 21 22 23 24 25 SOUTHERN DISTRICT REPORTERS (212) 637-0300 668 Ex "A"

THE	CLERK: Sas	sower v. Mangano.
THE	COURT: Who	is here and who represents whom?
MR.	WEINSTEIN:	Jay Weinstein, representing the
state.		
THE	COURT: Rep:	resenting whom?
MR.	WEINSTEIN:	The state respondents.
THE	COURT: Who	else is here?
MR.	WEINSTEIN:	I am sorry, the state defendants.
THE	COURT: You	represent all the defendants?
MR.	WEINSTEIN:	They are all state defendants.
THE	COURT: You	represent them all?
MR.	WEINSTEIN:	Yes.
THE	COURT: You	have an application for an
injunction?		
MS.	SASSOWER: Y	es, your Honor. May I have a few
minutes to orga	nize my pape	rs.
THE	COURT: We w	ill take a five-minute recess.
MS.	SASSOWER: I	would appreciate that. Thank
you very much.		
(Rec	ess)	
THE	COURT: It i	s your application?
MS.	SASSOWER: Y	es, your Honor.
THE	COURT: Go a	head.
MS.	SASSOWER: I	wish to speak in support of my
		s is a civil rights action, as
	THE MR. State. THE MR. THE MR. THE MR. THE MR. THE MR. THE MS. you very much. (Rec THE MS.	THE COURT: Who MR. WEINSTEIN: state. THE COURT: Rep: MR. WEINSTEIN: THE COURT: Who MR. WEINSTEIN: THE COURT: You MR. WEINSTEIN: THE COURT: You MR. WEINSTEIN: THE COURT: You injunction? MS. SASSOWER: Y minutes to organize my pape THE COURT: We w MS. SASSOWER: I YOU VERY MUCH. (Recess) THE COURT: It i MS. SASSOWER: Y THE COURT: It i

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you know, in which I am, among other things, asserting the 1 unconstitutionality of a suspension order that was issued by 2 the judicial defendants on June 14, 1991, without a petition 3 setting forth any charges, without any hearing, without any 4 findings that I was guilty of professional misconduct 5 immediately threatening the public interest, without any 6 statement of reasons, as required by law: that there be a 7 petition, hearing, findings and reasons, not only required 8 by the Constitution of the United States and the State of 9 10 New York --11 Is this a disciplinary committee THE COURT: 12 hearing? 13 MS. SASSOWER: No. There was no hearing at all. 14 THE COURT: Who brought the charges? 15 MS. SASSOWER: There were no charges. 16 THE COURT: What are you trying to enjoin? MS. SASSOWER: I am trying to enjoin the 17 continued enforcement of a blatantly illegal, 18 unconstitutional, and criminal order suspending me from the 19 practice of law. 20 21 THE COURT: Whose order? 22 MR. WEINSTEIN: The order of the judicial defendants, that is to say, the Appellate Division, Second 23 Department, of the State of New York, which has operated in 24 a totally lawless manner, has operated with a complete 25

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abandonment of all judicial standards, of all ethical rules, 1 without jurisdiction either subject matter or personal, and 2 3 has maintained this illegal order despite the controlling law of the highest court of the State of New York. 4 5 THE COURT: When was it issued? 6 MS. SASSOWER: This order was issued going now into the fifth year, that is to say, on June 14, 1991, and 7 it was served on me the day before the last day to file a 8 notice of appeal in the Court of Appeals in the case of 9 Castracan v. Colavita, which I was handling as pro bono 10 counsel to challenge a corrupt political deal involving the 11 trading of seven judgeships in the 9th Judicial District of 12 the State of New York. It was served on me on June 19, the 13 last day to file being June 20. 14 15 The order has been outstanding for THE COURT: five years and now you want me to enjoin it? 16 17 MS. SASSOWER: What I seek in the papers before your Honor --18 19 THE COURT: Is what? 20 MS. SASSOWER: Is a stay today of --21 THE COURT: That is an injunction. 22 MS. SASSOWER: I am asking this: Under Nuey No. and Russakoff, two cases that I have cited in my papers --23 24 THE COURT: I want to know what relief you are asking for. 25

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MS. SASSOWER: The relief I am asking for in the order to show cause, which I have submitted to your Honor a couple of days ago, as your rule required of me, that order to show cause is seeking a preliminary injunction based on --

6 THE COURT: But what are you asking me to enjoin? 7 MS. SASSOWER: What I am asking you to enjoin, 8 among other things, is the further enforcement of this 9 heinous and unconstitutional suspension order that was 10 issued and perpetuated now for more than four years without 11 any findings.

12 THE COURT: The question that comes to my mind 13 is: Why did you wait five years?

MS. SASSOWER: I didn't, your Honor. I am living proof that the state court system in the State of New York does not work.

17THE COURT: The order was issued in 1991. Did18you seek to have it vacated in the Court of Appeals?

MS. SASSOWER: Immediately. In fact, this order was released through the press. What I have been doing for five years, almost five years, is seeking relief under the decisional law of the state's highest court, in *Matter of Nuey* and *Matter of Russakoff*, to vacate the suspension.

24 THE COURT: Did you seek to have it vacated in
25 the New York State Court of Appeals?

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1 MS. SASSOWER: Immediately. Four times I have 2 been to the Court of Appeals. I have four times been denied I have never had any appellate review of this 3 review. 4 heinous order. THE COURT: Did you file a petition for 5 6 certiorari in the Supreme Court? 7 MS. SASSOWER: I brought an Article 78 proceeding and went up to the Supreme Court of the United States, and 8 your Honor has a copy of my petition for cert. 9 10 THE COURT: What did the Supreme Court do with 11 it? 12 MS. SASSOWER: The Supreme Court likewise, on the urging and advocacy of the Attorney General, denied the 13 petition for review. That, of course, as your Honor knows, 14 is not an adjudication on the merits. They grant less than 15 1 percent of all petitions for certiorari. 16 It is a discretionary review. I did not have a review as a matter 17 of right, to which I was entitled under the law of the State 18 of New York, under the Constitution of the State of New 19 York, and the Constitution of the United States. 20 21 THE COURT: But I don't have the power and I have no subject matter jurisdiction to review the correctness of 22 23 state court decisions. MS. SASSOWER: I am not asking you to do that, 24 your Honor. I am asking you to do what the case law of the 25

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highest court of our land requires be done when federal
constitutional rights are denied. We have a federal system,
and that is the virtue of having another forum when the
state courts are biased and corrupt and abusing their
powers, as the Attorney General of the State of New York has
done.

7 It is exactly 41 years ago this month that I started my senior year at NYU Law School as an unpaid law 8 assistant in the U.S. Attorney's Office, and I continued to 9 work that senior year, and at the end of the senior year I 10 remember being invited for the treat, the highlight of the 11 season, an address by Justice Frankfurter of the Supreme 12 Court of the United States, who said to all the assembled 13 Assistant U.S. Attorneys something that I remember today as 14 if I heard it just now, but it has lived with me all through 15 these five years, and that statement he made was that the 16 government wins its points when justice is done in its 17 18 courts. Unfortunately, the Attorney General of the State of New York has not lived by that very sage statement, which 19 was only the epitome of what the ethical duty of a public 20 prosecutor is under the Code of Professional Conduct of the 21 State of New York and the Model Code of Professional 22 Responsibility of the ABA. 23

I have documented in repeated motions, appeals, proceedings, and summary judgment motions over and over

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again the fact that no due process was afforded me. 1 Due process as it has been interpreted is process which is 2 meaningful, to be heard in a meaningful way. 3 4 THE COURT: I don't understand your argument because you told me you went to the Court of Appeals and 5 6 they rejected your application. 7 MS. SASSOWER: Yes. As I set forth, they denied it, but what I have said is that the state courts are 8 covering up the misconduct of the Appellate Division. 9 10 THE COURT: How can I determine that the state courts are corrupt and did all kinds of terrible things 11 without passing upon the validity of their decisions? 12 MS. SASSOWER: Because the uncontroverted 13 evidentiary facts are the following: I never was served 14 with any charges before my suspension; I never had any 15 16 hearing before my suspension; I never had --THE COURT: Did you have one after your 17 18 suspension? 19 MS. SASSOWER: No. I have not in more than four years had a hearing as to the basis of my suspension. 20 And the Court did not even make --21 22 THE COURT: So what you are saying is that the 23 state courts are wrong. I can't review that. 24 MS. SASSOWER: Wait. Your Honor is overlooking the very important aspect and beneficent purpose of a 25

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1 federal forum: that those who are aggrieved, who show by documentary proof that the state courts are biased and 2 deliberately perverting our constitutional rights, our Bill 3 of Rights, has, because of a vendetta against me arising out 4 of the political case that I brought in which judges 5 particularly in the Second Department, became the subject of 6 my complaint, which I was handling as a public interest 7 8 matter --9 THE COURT: It all boils down to your saying that the state courts have not been correct in denying you a 10 11 remedy. MS. SASSOWER: No. I am saying much more than 12 This is not just an error. This is egregious 13 that. 14 corruption and abuse. 15 I haven't the power to review even THE COURT: egregious error and corruption. If the gravamen of your 16 claim is that the state court has improperly denied you .17 18 relief because of corruption or any other reason, stupidity, corruption, misreading the law, I haven't the power to 19 review that. The Second Circuit has squarely so held. 20 21 MS. SASSOWER: Unfortunately, I don't know if your Honor has read the memorandum of law that I have 22 submitted in support of my summary judgment motion, but it 23 is very clear --24 25 THE COURT: That is an argument for another day.

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MS. SASSOWER: No, no, it is very clear from the cases that the overriding concern in regard to all of the defenses that were put forth is that there be a fair playing field, a level playing field, in the state court system, and for that reason the federal court will abstain or the federal court will have the various considerations of res judicata and --

8 THE COURT: We are particularly restricted from 9 conducting a hearing concerning bar proceedings.

MS. SASSOWER: Of course. I have an undisputed factual situation, where I am entitled to relief as a matter of controlling law. And it cannot be gainsaid by the Attorney General. There are no cases to the contrary. Consequently --

15 THE COURT: Is there a case you cite in your
16 brief where a federal court has interfered with a suspension
17 order of the Bar Association?

18 MS. SASSOWER: Where there is --

19 THE COURT: If there is, let me know on what page 20 of your brief you have it and I will read it. My 21 understanding of the law is that no court has ever done 22 that.

MS. SASSOWER: Yes, where there is a showing of bias or due process violation or fundamental lack of jurisdiction, which is being deliberately disregarded.

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1THE COURT: What is your best case on this issue?2MS. SASSOWER: Let me show you a case that --3THE COURT: Just give it to me now. Give me your4best case on this issue and I will read it. Then I will5hear from your adversary.

6 MS. SASSOWER: I would like to just, while I am 7 having my assistant get the case for you, make reference to a case I read on Sunday in the paper, which was very apt --8 and I will give the Court a copy (handing) -- about a man in 9 the system who was jailed for 607 days and finally was 10 brought into court. The case involved a couple of minor 11 misdemeanors. And he has already served two years. Now, 12 your Honor, I have been lost in the state court system for 13 more than 1,500 days, and there is no state remedy, and they 14 are refusing to enforce the law that exists, the safeguards 15 that exist to protect lawyers and to protect me as a lawyer 16 who was availing herself of First Amendment rights, doing 17 her ethical duty to report evidence of judicial wrongdoing. 18 I have served my time. Your Honor has released admitted 19 criminals for having served time. 20

THE COURT: But I have jurisdiction over them.
 MS. SASSOWER: But you have jurisdiction over me
 and the Attorney General.

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THE COURT: I may not have subject matter jurisdiction to review the correctness of state court

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decisions.

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MS. SASSOWER: This is not just correctness. This is a heinous act and a deliberate pattern, and the Attorney General, by his complicity in refusing to investigate and refusing to prosecute, has become a named defendant in this lawsuit.

7 THE COURT: At some point I am sure that the list will grow, but that is not the point. The point is that 8 there are two ways to review the correctness of what state 9 courts do: one is by going to the federal court initially, 10 and the other is by taking an appeal from the highest court 11 of the state to the Supreme Court of the United States. 12 The Supreme Court has jurisdiction to review the correctness of 13 what state courts do; a District Court does not. 14

MS. SASSOWER: I am not asking for a review. I
am asking for independent enforcement.

17THE COURT: You are asking me to enjoin them --18MS. SASSOWER: No.

THE COURT: -- which requires me to find the
suspension order invalid.

MS. SASSOWER: There is no fact or law that will sustain it. It is frivolous for Mr. --

THE COURT: So you are asking me to make a de novo finding on an issue that the state court has already passed on, and I am telling you I don't think I have

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1	jurisdiction to do it.
2	MS. SASSOWER: The state court didn't
3	THE COURT: It issued the order, didn't it?
4	MS. SASSOWER: But they don't respond to the
5	issues.
6	THE COURT: They are still enforcing it.
7	MS. SASSOWER: They are enforcing an order
8	that
9	THE COURT: So you are asking me to invalidate a
10	state court order.
11	MS. SASSOWER: I am asking you to invalidate a
12	state court order that is, on its face, void as a matter of
13	constitutional law and due process.
14	THE COURT: However wrong, however void you say
15	it is, it is for the highest court of the state to correct
16	what lower state courts do, not a federal district court.
17	It is for the Supreme Court to decide whether the highest
18	court of the state has protected your constitutional rights.
19	I don't have the jurisdiction as a district court to review
20	a state appellate court.
21	MS. SASSOWER: I point out in my papers that I
22	have amply demonstrated the basis for the relief, the
23	frivolous nature of the order, and fraud on the part of the
24	Attorney General.
25	THE COURT: I will hear from your adversary. Do
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1 you want to respond to it?

2 MR. WEINSTEIN: I will reiterate what your Honor already stated, that this District Court is a court of 3 original jurisdiction, not appellate jurisdiction, and the 4 plaintiff's remedy is to appeal to the Supreme Court. 5 6 THE COURT: She did and that may be it as far as 7 the game goes. Is there anything more you want to say? 8 MR. WEINSTEIN: I have nothing further to say. 9 THE COURT: What about abstention? 10 MR. WEINSTEIN: Well, all the arguments as to her original complaint will be made, I am told, October 28. 11 12 THE COURT: That is a motion for summary 13 judgment. 14 MR. WEINSTEIN: Right. 15 THE COURT: Is one of the things that she is asking for in her complaint an injunction of the state court 16 17 proceedings? 18 MR. WEINSTEIN: No. Declaratory relief. 19 THE COURT: She wants me to declare it invalid? 20 MR. WEINSTEIN: Yes. 21 THE COURT: So the only question before me is whether or not I issue -- sit down until I am finished -- in 22 the interim, until I rule on the motion for summary judgment 23 that you filed --24 25 MR. WEINSTEIN: I haven't filed my motion for SOUTHERN DISTRICT REPORTERS (212) 637-0300

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1	summary judgment.
2	THE COURT: She filed.
3	MR. WEINSTEIN: She filed.
4	THE COURT: You responded to it.
5	MR. WEINSTEIN: I haven't responded to it yet.
6	THE COURT: When are you going to respond to it?
7	MR. WEINSTEIN: The 13th of October.
8	THE COURT: Sit down.
9	MS. SASSOWER: His time expired, your Honor,
10	months ago.
11	THE COURT: We are going to deal with that one in
12	a minute. The only issue before me today is whether or not
13	I am going to issue an order which is in the nature of
14	mandatory injunctive relief, in effect suspending the
15	suspension order, so to speak, pending a resolution of the
16	motions; correct?
17	MR. WEINSTEIN: Correct.
18	THE COURT: And whether I should do that.
19	MR. WEINSTEIN: Yes.
20	THE COURT: Correct?
21	MR. WEINSTEIN: Correct.
22	THE COURT: What about this question of your
23	being untimely in your response, which seems to be a
24 25	meritorious argument? When did I fix your time to respond
23	to the motion?

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1 MR. WEINSTEIN: I believe the time you fixed at the last conference is past. Your Honor is correct. 2 3 THE COURT: So why should I wait for you to file a motion response late? Why didn't you file it within the 4 time fixed by the Court? 5 6 MR. WEINSTEIN: Because, your Honor, I returned to the Attorney General's Office on September 11, and I was 7 told by my predecessor, the Assistant Attorney General who 8 9 was handling this case, that we had until, I believe, 10 September 20. 11 THE COURT: Told by whom? 12 MR. WEINSTEIN: One of the other Assistant 13 Attorneys General. 14 THE COURT: Why did you rely on that when you knew exactly the time? 15 16 MR. WEINSTEIN: I didn't rely on that. In fact. I called my adversary. She stated that our time has since 17 elapsed. I then called the clerk of your court, who 18 corroborated the statements of the 'Assistant Attorney 19 20 General. 21 THE COURT: What statements? 22 MR. WEINSTEIN: That we have until -- I am saying September 20, but I don't know whether --23 24 THE COURT: That time has passed, too. 25 MR. WEINSTEIN: Then we obtained an extension of

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. 1	time until October 13, by your order.
2	THE COURT: But that was before she objected to
3	it.
4	MR. WEINSTEIN: Right.
5	THE COURT: Now she is objecting to it.
6	MR. WEINSTEIN: She can object all she wants.
7	She is simply misstating the facts.
8	THE COURT: I don't have to accept your papers.
9	MR. WEINSTEIN: She is misstating the facts to
10	the Court.
11	THE COURT: In what way? I am asking you, in
12	what way?
13	MR. WEINSTEIN: Because my time hadn't elapsed.
14	THE COURT: Your time had elapsed.
15	MR. WEINSTEIN: It hadn't.
16	THE COURT: What was the basis for my chambers,
17	you say, telling you that the time had been extended? Did I
18	sign a written order?
19	MR. WEINSTEIN: It wasn't in a written order, no.
20	THE COURT: . So?
21	MR. WEINSTEIN: Well, it was oral.
22	THE COURT: It was oral? Who in my chambers told
23	you that your time was extended?
24	MR. WEINSTEIN: Ms. Dewitt.
25	THE COURT: I will check with her.

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1	MR. WEINSTEIN: OK.
2	THE COURT: The other question I have is: Did
3	you indicate to my chambers that there was an objection to
4	your extension?
5	MR. WEINSTEIN: Yes. In the letter.
6	THE COURT: You did.
7	MR. WEINSTEIN: Yes.
8	THE COURT: Do you have a copy of your letter?
9	MR. WEINSTEIN: I may. Let me check.
10	(Handed to the Court)
11	THE COURT: This is her letter, not your letter.
12	Where is your letter?
13	MR. WEINSTEIN: Let me read it first to make sure
14	I have the right one.
15	OK, I am correct, it was September 20.
16	THE COURT: Let me read your letter.
17	MR. WEINSTEIN: OK (handing to the Court).
18	THE COURT: You indicated here that the time to
19	file your papers was September 20.
20	MR. WEINSTEIN: Right.
21	THE COURT: What I understand is that it was a
22	lot earlier than September 20.
23	MS. SASSOWER: July 14.
24	THE COURT: Sometime in July, wasn't it?
25	MR. WEINSTEIN: From the previous conference.

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1	THE COURT: Yes. So this is a misrepresentation.
2	MR. WEINSTEIN: No, it isn't.
3	THE COURT: Yes, it is.
4	MR. WEINSTEIN: No. At that conference it was
5	simply put off.
6	THE COURT: Where is the letter pursuant to which
7	you requested the adjournment until September 20?
8	MR. WEINSTEIN: Until September 20?
9	THE COURT: Yes, where is that letter?
10	MR. WEINSTEIN: That occurred before I came to
11	the office.
12	THE COURT: Do you have it in your file?
13	MR. WEINSTEIN: No. I don't even know if it was
14	memorialized at all. I believe it was an oral
15	understanding.
16	THE COURT: Normally I do not accept oral
17	communications requesting extensions. That is why I would
18	be greatly surprised if we granted one. If we granted one,
19	it would probably have been upon the understanding that
20	there was no objection to it.
21	MR. WEINSTEIN: Exactly. That is my
22	understanding as well.
23	THE COURT: Yes. But if there was an objection
24	to it, then
25	MR. WEINSTEIN: I don't believe there was an
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objection to it at that time. At the time it was made and 1 granted and agreed to, I don't believe it was objected to at 2 3 that time. 4 THE COURT: Do you have a written order extending your time to September 20? 5 6 MR. WEINSTEIN: No. 7 THE COURT: Go ahead, I will hear from you. 8 MS. SASSOWER: There are a couple of things. 9 Firstly, just on this aspect, I submitted my summary judgment papers June 23 pursuant to your Honor's 10 order, and July 14 was the date you had fixed for his 11 12 There was never any further discussion with me by response. anyone connected with the Attorney General's Office relative 13 14 The point is that my 3(g) statement was never to that. disputed, my summary judgment arguments were never disputed. 15 The time to do that was by July 14. 16 17 THE COURT: When is it going to be argued? 18 MS. SASSOWER: I beg your pardon? 19 THE COURT: When is it going to be argued? 20 MS. SASSOWER: You had set that down for October 27, as the oral argument for that. If your Honor please, I 21 do have some reference to give you in terms of your 22 23 jurisdiction to grant relief under the circumstances at bar. 24 THE COURT: Let me see that. 25 MS. SASSOWER: In this memorandum of law, copy of SOUTHERN DISTRICT REPORTERS (212) 637-0300

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which is before the Court, and I will give you another copy now, filed by me on June 26, 1995, I made reference at page 16 --

THE COURT: Page 16?

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5 MS. SASSOWER: Yes. -- made reference, your Honor, to the long-established exceptions to the abstention 6 7 doctrine. These specifically include bias on the part of the state adjudicators. I cite various paragraphs of the 8 complaint that bring it within that long-established 9 exception. As well, I make reference to the exception 10 for bad faith, and Dombrowski-type harassment through multiple 11 baseless disciplinary prosecution, which is precisely the 12 case at bar. I cite the various paragraphs in my complaint 13 that support that exception. I likewise point out the 14 irreparable injury and loss that result in such an 15 exception, where there is no monetary amount that could 16 possibly compensate me for what has been done to me by the 17 state courts in my suspension. All of the cases that are 18 discussed in points 3 and 4 establish this Court's 19 jurisdiction where there is evidence, as there is here, 20 21 documented evidence --22

THE COURT: I don't see any cases cited here. I
see no cases cited here.

MS. SASSOWER: The case of *Dombrowski*, and all of the cases that are discussed in 3 and 4, and 2,

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Rooker-Feldman and the cases that are discussed in that, to 1 show that an exception is always made to allow federal 2 intervention when federal rights are being invaded. 3 4 THE COURT: I don't see any reason why I should grant emergency injunctive relief when this issue is going 5 to be argued on October 27. 6 7 MS. SASSOWER: But, your Honor, I have shown -and, by the way, there is just another one I wanted to 8 9 mention --10 THE COURT: This issue is all going to be aired 11 on October 27. 12 MS. SASSOWER: But, your Honor, I came to you --13 THE COURT: Why should I order --14 MS. SASSOWER: I will tell you why. 15 THE COURT: Why should I order what amounts to mandatory injunctive relief in your favor when you have not 16 sought that relief for five years? 17 18 MS. SASSOWER: Because it is an anathema, your I have sought release consistently in the state 19 Honor. courts for the last four and a half years. 20 21 THE COURT: What I am saying to you is that a factor on a preliminary injunction application, which is 22 23 what yours is, is laches. 24 MS. SASSOWER: There is no possible laches when I have exhausted myself and my --25

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1 THE COURT: It is the first you have asked me for preliminary injunctive relief. 2 3 MS. SASSOWER: But, your Honor, I have not sought to burden the federal courts. I have used every avenue of 4 redress in the state court, including Article 78 5 6 proceedings. 7 THE COURT: But you are asking for preliminary 8 injunctive relief. 9 MS. SASSOWER: I am not asking for that now. Ι am asking only for the TRO. 10 11 THE COURT: A TRO is preliminary injunctive relief. If that is what you are asking for, that is denied. 12 13 MS. SASSOWER: If I came to your Honor, I am in 14 jail, and I say to your Honor, on a habeas corpus writ, there was no indictment, and there was no hearing, and there 15 16 were no --17 THE COURT: I have heard your argument. I am prepared to rule on your application for a temporary 18 restraining order now. 19 20 MS. SASSOWER: But would you not release me from jail if I showed you that? 21 22 THE COURT: I am going to rule on that one right 23 now. 24 MS. SASSOWER: Would you please --25 THE COURT: I have heard your argument. That's SOUTHERN DISTRICT REPORTERS (212) 637-0300

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MS. SASSOWER: Would you please read the papers,
your Honor.

THE COURT: I have to be at a meeting at 5
o'clock. I have heard you for twenty minutes. That is long
enough. I am ready to rule.
MS. SASSOWER: I am in a jail, a --

8 THE COURT: I am ready to rule. We have had this 9 problem once before. I am telling you that I don't want any 10 further argument on this issue. The other issue will be 11 resolved when my law clerk gets down here.

12 The posture of this case is that the order complained of was issued, as I understand it, in June of 13 It is continuing. The matter has been litigated in 14 1991. the state courts, as I understand the facts. 15 The issue of the Court's subject matter jurisdiction to issue the relief 16 requested is going to be heard on October 27. The only 17 issue before me is whether I should issue an injunction or a 18 TRO, a temporary restraining order, between now and the time 19 the Court hears oral argument on the merits. On that issue, 20 since the application being made is in the nature of 21 mandatory injunctive relief, the law in this circuit is that 22 a higher showing is required for that relief to be ordered 23 by this Court than would be the case in an ordinary 24 injunction. I am not satisfied that a sufficient showing 25

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for preliminary injunctive relief has been made, especially 1 since this order has been in effect since 1991 and four 2 years have elapsed. I see no reason for emergency relief of 3 that sort between now and October 27. Moreover, since it 4 5 is, in effect, mandatory injunctive relief and will give up the benefit of what she is seeking by way of ultimate relief 6 here without having to resolve the merits of the underlying 7 controversy, including the issues of the Court's subject 8 matter jurisdiction and my powers with respect to 9 abstention, all of which require a full argument on October 10 27, I see no basis to find that you have come close to 11 establishing what the Circuit Court has required for this 12 Court to order interim mandatory injunctive relief. For the 13 reasons given, the application for a temporary restraining 14 order is denied. 15

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16 To give you the benefit of an appeal to the Second Circuit, if you would like to have one, if I were to 17 treat your application as one for preliminary injunctive 18 relief to, in effect, suspend the state's suspension order 19 between now and the time the matter is first heard, that 20 would also be denied. While you may not appeal from a 21 mandatory injunction denial, you may appeal from a decision 22 seeking preliminary injunctive relief. So if you want to, I 23 will sign a written order that you can take to the Circuit 24 Court to seek that relief from them. I have ruled. 25

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Now let's get to the other question.

MS. SASSOWER: But, your Honor --

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THE COURT: I don't want to hear any more 4 argument. I have ruled. I am giving you a direction that I 5 want no further argument on this issue. I will issue a written order. You can appeal that order in the appropriate 6 way to the United States Court of Appeals for the Second 7 8 Circuit. I have heard your argument, and I am not persuaded you come close to meeting the standard for what amounts to 9 preliminary injunctive relief, be it called a TRO or a 10 preliminary injunction. If the Court of Appeals feels 11 differently, they will reverse me. 12

13 Now let's get to the second issue. Our notes indicate that you had a conversation with my secretary on 14 June 15 in which you indicated, Ms. Sassower, that you would 15 not be able to respond to their cross-motion until August 16 17 20.

18 MS. SASSOWER: I don't know what that reference 19 means. There was no cross-motion. So I don't know --20 THE COURT: They were going to file a cross-motion. Did we fix the time? Do you have the 21 22 original order? 23 MS. SASSOWER:

The point is that no cross-motion 24 was ever made.

MR. WEINSTEIN: Oh, no, they were going to

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l	cross-move.
2	MS. SASSOWER: But it appears that there was an
3	ex parte conversation between Mr. Weinstein
4	THE COURT: Weren't you going to cross-move for
5	summary judgment?
6	MR. WEINSTEIN: No. I moved for judgment on the
7	pleadings, she submitted her summary judgment motion, and
8	then I was going to reply or respond somehow.
9	MS. SASSOWER: I submitted a response. I did not
10	seek to avail myself of any extension whatsoever, because
11	the motion that was made for dismissal was so patently
12	frivolous.
13	THE COURT: The notes of my law clerk say here,
14	"Orally advised Mrs. Sassower" that is you?
15	MS. SASSOWER: Yes.
16	THE COURT: "per her call that the judge would
17	give her until August 20, 1995."
18	MS. SASSOWER: If I wanted it. I did not avail
19	myself of it.
20	THE COURT: But you asked for it. Then we
21	extended his time. So he is correct.
22	MS. SASSOWER: No, Mr. Weinstein was never
23	notified, there is no indication of any notification, and
24	that was only optional with me if I chose to make a
25	cross-motion, which I did not.
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1 THE COURT: If he understood that he had until September 20, his belief was not unreasonable. 2 3 MS. SASSOWER: When did he have such an understanding? He wasn't even in the case until September 4 12, according to his letter. That is a total lie. 5 His 6 whole case is a total lie. 7 THE COURT: You asked for an extension of some 8 time. 9 MS. SASSOWER: Excuse me, your Honor --10 THE COURT: Sit down. I have checked our notes. There may have been a misunderstanding. As far as I am 11 concerned, I will give you two weeks' additional time to 12 13 file your papers. 14 MS. SASSOWER: May I be heard, your Honor? Ι would like to have him on the stand and I would like to have 15 an opportunity to question, because this is a totally 16 17 unjustified --18 THE COURT: Who cares? It is just a matter of an 19 extension of time. 20 MS. SASSOWER: But my papers were timely filed, 21 and --22 THE COURT: If you had not called to inquire as to extending your time, there would have been no confusion. 23 You called. My notes reflect that you called and you asked 24 for more time. If you chose not to avail yourself of more 25

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1	time
2	MS. SASSOWER: There was never any notification
3	by me. I never made the motion.
4	THE COURT: We have a memo in our file, which is
5	a business record, which I will accept as credible. In the
6	meantime
7	MS. SASSOWER: Do I have a right to question?
8	THE COURT: It is not worth the time of the Court
9	to spend any time worrying about that.
10	MS. SASSOWER: But, your Honor
11	THE COURT: It is within my discretion. I will
12	give you until October 6 to file your papers. Since the
13	matter is going to be heard on October 27, I need at least
14	three weeks to review the papers. You can file your papers
15	on October 7.
16	MS. SASSOWER: Will your Honor do this, then, if
17	that is the case: Will your Honor be good enough to reserve
18	decision on my application, inasmuch as you are going to be
19	reviewing the papers and you have not
20	THE COURT: If you want me to reserve decision,
21	that is fine. If you want me to reserve decision, I will
22	reserve decision until the 27th.
23	MS. SASSOWER: I believe that it would be better
24	for your Honor to read the papers, inasmuch as your Honor is
25	unfamiliar with my arguments
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1 THE COURT: You should understand that, since you asked me to reserve decision on it, you can't appeal. 2 3 MS. SASSOWER: I am not going to appeal, your Honor, until your Honor makes the decision on the 27th. ·4 5 THE COURT: I ruled so that I could give you the opportunity to appeal between now and the 27th. If you 6 don't want that, it is fine with me. 7 MS. SASSOWER: I have no ability to do that, your 8 9 I am without counsel, I am physically handicapped, Honor. 10 as you see by my cane. 11 THE COURT: Then I will reserve decision on your application for a preliminary injunction until I rule on the 12 13 merits on October 27. 14 MS. SASSOWER: Yes, and that will give your Honor an opportunity to read the papers and the files and also to 15 consider the other branch of my application, which is to 16 enjoin the judicial defendants from adjudicating my cases, 17 because they have been continuing to demonstrate their 18 19 bias --20 THE COURT: You are really stretching federal judicial power to the breaking point. 21 22 MS. SASSOWER: It is all documented, and if you read it, I think your hair will stand on end to see what has 23 24 been done. 25 THE COURT: I think on that issue of enjoining SOUTHERN DISTRICT REPORTERS (212) 637-0300

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state court judges from deciding your cases, I may wait for 1 some guidance from the 17th floor. See you on the 27th. 2 3 MS. SASSOWER: I ask you to read the Dombrowski 4 case. 5 THE COURT: I will look at the Dombrowski case, but I don't know of any case in which a federal judge has 6 ever enjoined a state judge from sitting on a case. 7 8 MS. SASSOWER: I have submitted with my papers 9 the full --10 THE COURT: I am sure Dombrowski doesn't say In any event, I will hear you on the 27th, and you 11 that. will both be given fifteen minutes to argue, no more and no 12 13 less. 14 MS. SASSOWER: Will I be given an opportunity 15 to --16 THE COURT: You will be given fifteen minutes. 17 MS. SASSOWER: -- respond to Mr. Weinstein's 18 papers? 19 THE COURT: No. No reply papers, because he is responding to a cross-motion. 20 21 MS. SASSOWER: There is no cross-motion, your 22 Honor. 23 THE COURT: You made a cross-motion, right? 24 MS. SASSOWER: I did not, your Honor. THE COURT: He is replying to your cross-motion. 25

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1 MS. SASSOWER: I did not make a cross-motion. 2 THE COURT: What are you replying to? 3 MR. WEINSTEIN: I made a motion on the pleadings. She made a summary judgment motion. I am going to reply to 4 5 that. 6 THE COURT: Now I am going to start losing patience. Did you ask for summary judgment in response to 7 8 his motion to dismiss? 9 MS. SASSOWER: I asked, your Honor --10 THE COURT: Did you? Yes or no. 11 MS. SASSOWER: I asked for a conversion of his 12 Rule 12 motion. 13 THE COURT: Did you ask for some affirmative relief in response to his motion? 14 15 MS. SASSOWER: As authorized by Rule 12, I asked for conversion. Your Honor has not read my papers. 16 17 THE COURT: You asked for affirmative relief? 18 MS. SASSOWER: I asked for --19 THE COURT: Wait a minute. My question will be answered yes or no, and it had better be answered truthfully 20 because otherwise you may not be in this court either. Now, 21 my question to you is --22 23 MS. SASSOWER: Your Honor, I would like the penalties of perjury be imposed on --24 25 THE COURT: Don't talk while I am talking. Did SOUTHERN DISTRICT REPORTERS (212) 637-0300

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you seek affirmative relief in your responding papers? 1 2 MS. SASSOWER: It is not by way of cross-motion, your Honor. It is by way of the relief authorized under 3 Rule 12, which is the motion that he made. 4 5 THE COURT: You are seeking affirmative relief. He gets a reply under my rules; you don't. I will see you 6 7 on the 27th. 8 MS. SASSOWER: His is in opposition, not a reply. 9 THE COURT: I will see you on the 27th. He is responding to your action for injunctive relief. Are you 10 not seeking injunctive relief in your papers? 11 12 MR> WEINSTEIN: Summary judgment. 13 THE COURT: Are you not seeking injunctive relief? 14 15 MS. SASSOWER: That is this order to show cause which your Honor hasn't signed yet. 16 17 THE COURT: And you are seeking a declaratory judgment, right? That is affirmative relief. He gets a 18 19 reply. 20 MS. SASSOWER: Your Honor, in my order to show cause that is returnable the 27th, what is your Honor's · 21 disposition? I have an order to show cause. 22 23 THE COURT: Your order to show cause is 24 returnable today. 25 MS. SASSOWER: You had not signed it. SOUTHERN DISTRICT REPORTERS (212) 637-0300

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1 THE COURT: I have adjourned it at your request 2 to October 27. 3 MS SAGGOURD on

MS. SASSOWER: Where are his papers? 4 THE COURT: I don't need any papers on this 5 I don't need a response. I looked at your papers. issue. I am not required to take a response from him. 6 But if he wants to respond to the issues raised, he can. 7 The issue of whether or not you are entitled to preliminary injunctive 8 relief will be moot on October 27, so why should he respond 9 10 to it? 11 MS. SASSOWER: You have made up your mind 12 already. 13 THE COURT: No. I have ruled already. 14 MS. SASSOWER: I thought you were reserving --15 THE COURT: Wait a minute. I ruled already. Then you asked me to defer a ruling and I did, right? 16 17 MS. SASSOWER: And you said you will. 18 THE COURT: I don't need a response from him on the issue of preliminary injunctive relief or TRO, because 19 that would be moot on October 27, because I will rule on the 20 merits on that day. So there is no need for injunctive 21 relief between now and then. 22 23 24

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